



**Perelman**  
School of Medicine  
UNIVERSITY of PENNSYLVANIA

# **Metabolic ketosis as a potential treatment for alcohol use disorder**

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# Short CV

## **BSc PsychoBiology, BSc MSc Psychology (2010)**

University of Amsterdam, Sussex University

**Mentors: Dr. Duka, Dr. Stephens**

## **PhD Psychology (2014)**

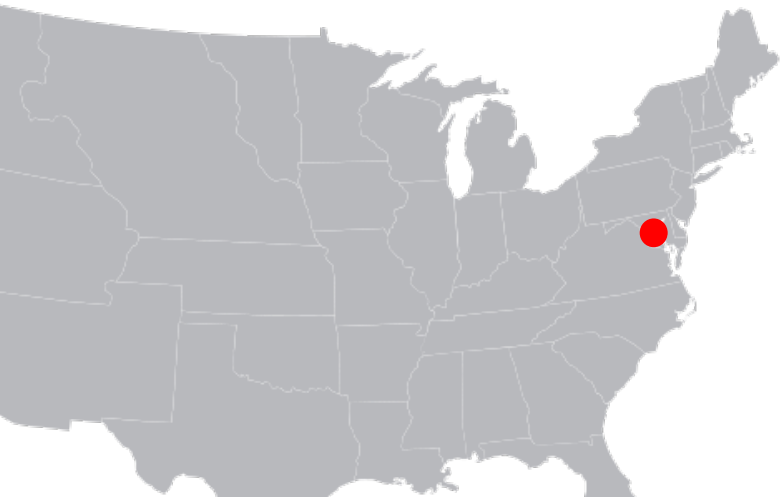
Berlin School of Mind and Brain

**Mentors: Dr. Bermpohl, Dr. Heinz**

## **Postdoctoral Fellow (2014-2020)**

NIH/NIAAA Bethesda

**Mentor: Dr. Volkow**



# Alcohol Use Disorder

- Worldwide, 3 million deaths every year result from harmful alcohol use (5.3 %) [WHO, 2018](#)
- Over 1 in 7 Americans are estimated to develop an AUD in their life [Grant, 2015 JAMA](#)
- 31.4% increase in alcohol sales during COVID19 lockdown in UK [The Lancet Gastroenterology Hepatology, July 2020](#)
- There is an urgent need for interventions that can promote long-term abstinence and reduce alcohol craving

# Research Objectives

- Study neurobiological basis of AUD using neuroimaging to explore targets for treatment
- Utilize neuroimaging to capture treatment effects in AUD



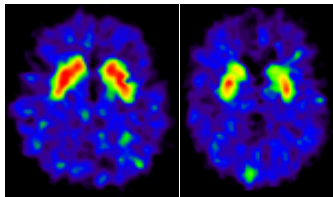
# Research Overview

Dopaminergic system



Alcohol Cue reactivity, fMRI

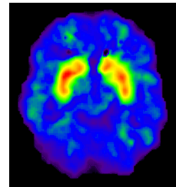
Dopamine D2/D3: PET  $^{11}\text{C}$  Raclopride



Neurogenetics

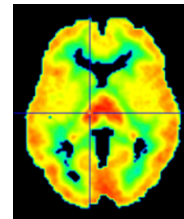
*DAT1* methylation, PET  $^{11}\text{C}$  Cocaine

Genetic ancestry



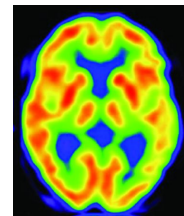
Neuroinflammation

TSPO: PET  $^{11}\text{C}$  PBR28



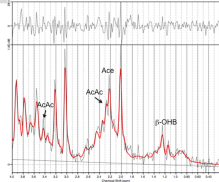
Metabolism

Glucose metabolism (FDG)



$^{11}\text{C}$  Acetate, MRS

# Talk Outline



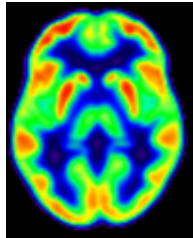
## **Part 1: K99**

Brain metabolism and alcohol withdrawal



## **Part 2: R00**

Brain metabolism and alcohol consumption



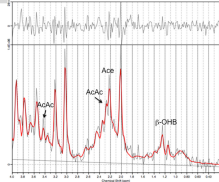
## **Part 3: B2B proposal**

Brain metabolism and alcohol tolerance

## **Part 4:**

Future Directions

# Talk Outline



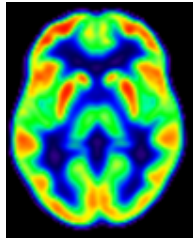
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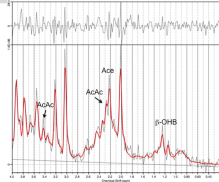
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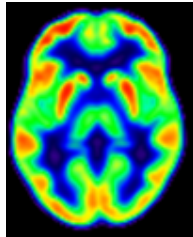
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Brain metabolism and alcohol withdrawal



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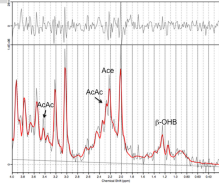
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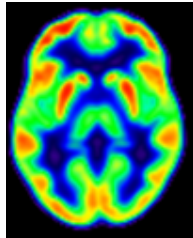
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Brain metabolism and alcohol withdrawal



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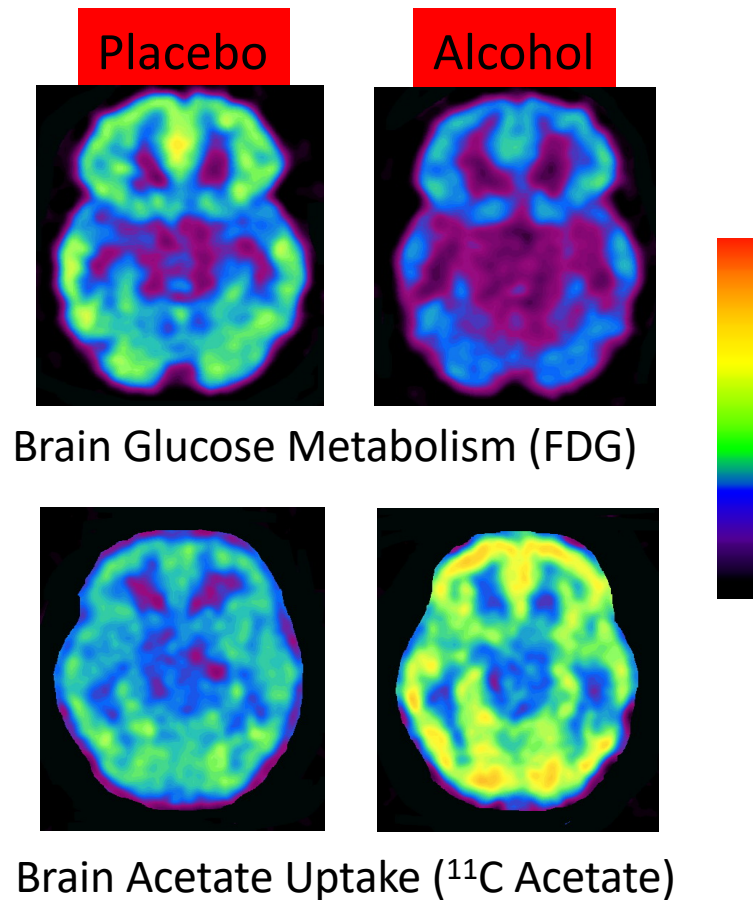
## **Part 4:**

Future Directions

# 1. Brain metabolism and alcohol withdrawal

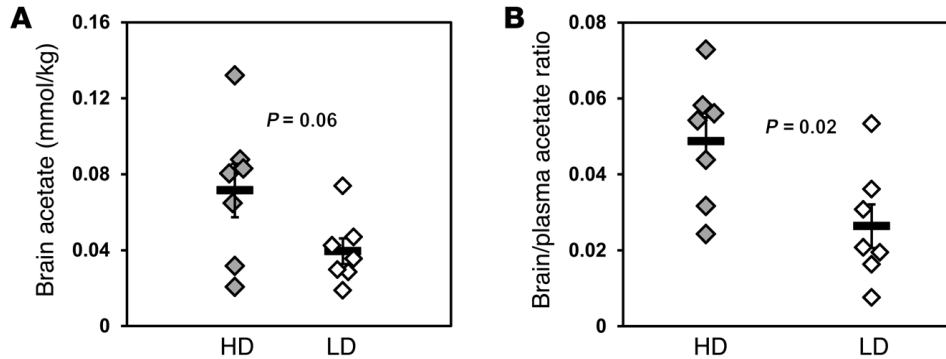
Effects of a ketogenic diet on alcohol withdrawal in AUD - *K99*

# Effects of acute alcohol on **brain glucose metabolism** and **brain acetate uptake**

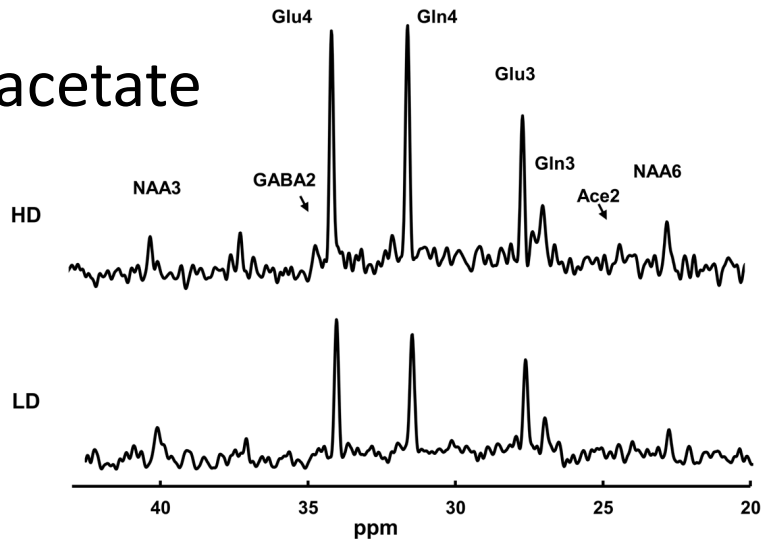


- Alcohol decreases **glucose** metabolism, increases **acetate**
- **Acetate metabolism was higher in heavy drinkers than controls**

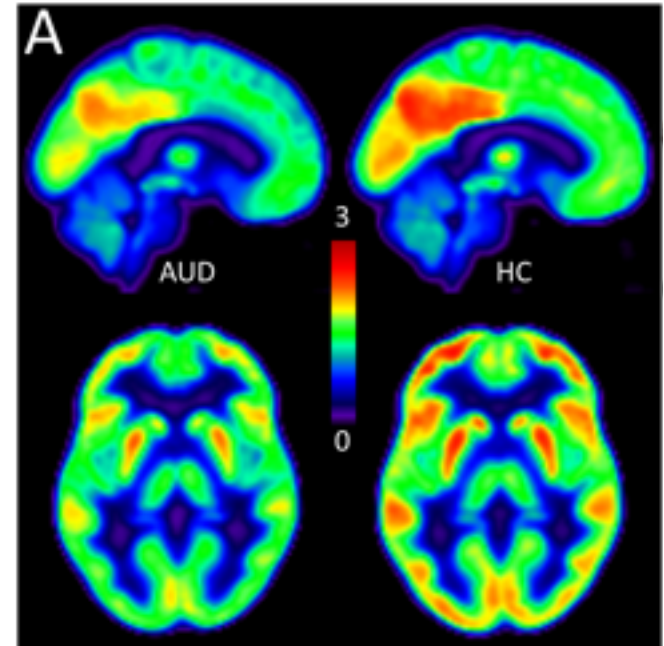
During sobriety, heavy drinkers show **higher acetate uptake** compared to social drinkers



$^{13}\text{C}$  acetate



During sobriety, heavy drinkers show **lower brain glucose metabolism**



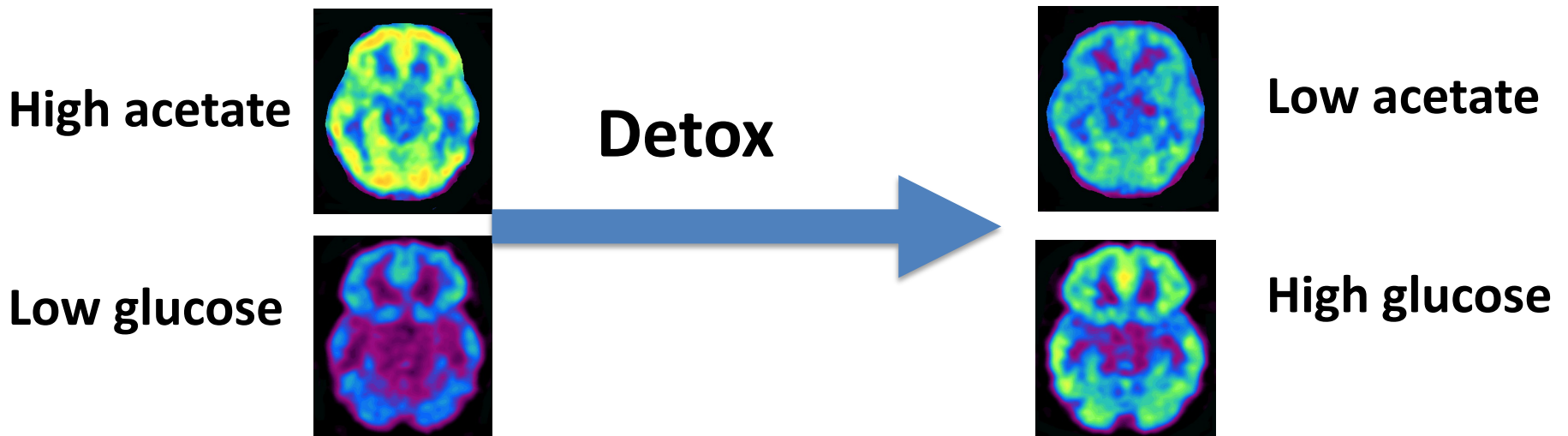
**AUD < Control**

Volkow, Wiers et al, 2017  
Tomasi, Wiers et al, 2019



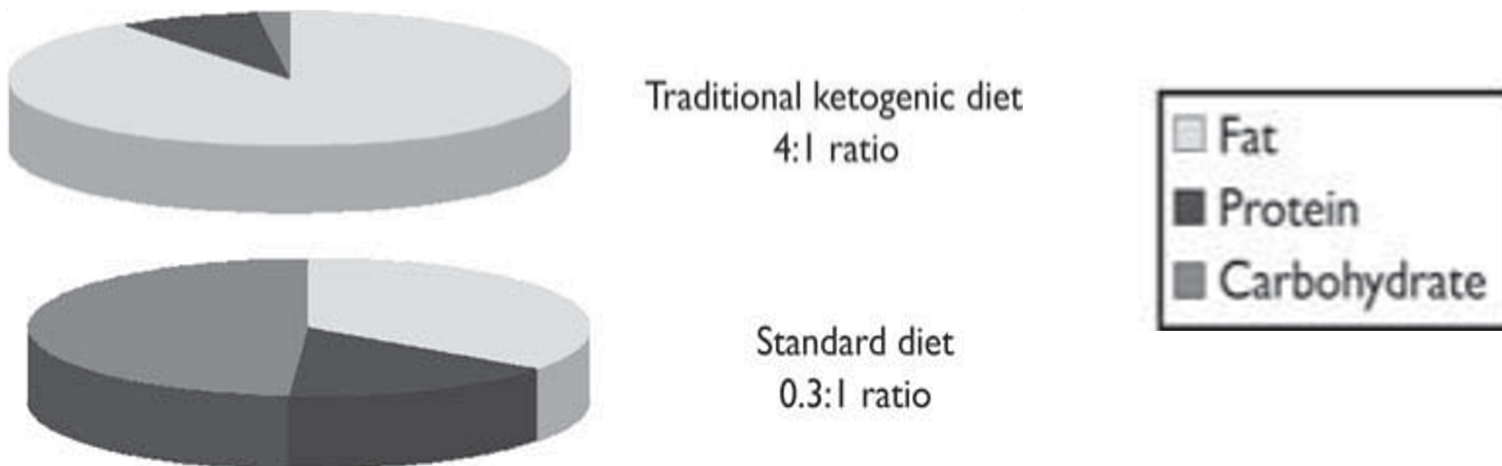
# Relevance to AUD treatment

During prolonged detoxification, decrease in acetate may result in an energy-deficient state in the brain that could contribute to neurotoxicity and enhanced neuronal excitability in AUD



# Ketogenic Diet

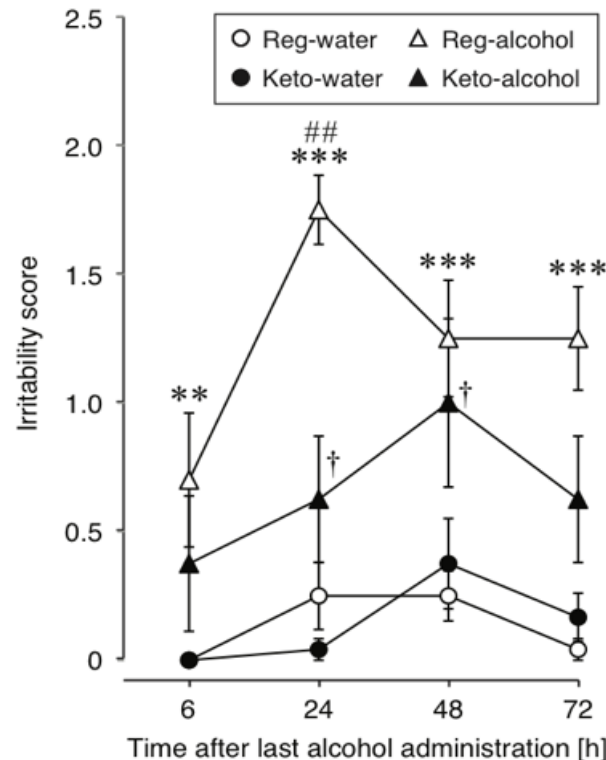
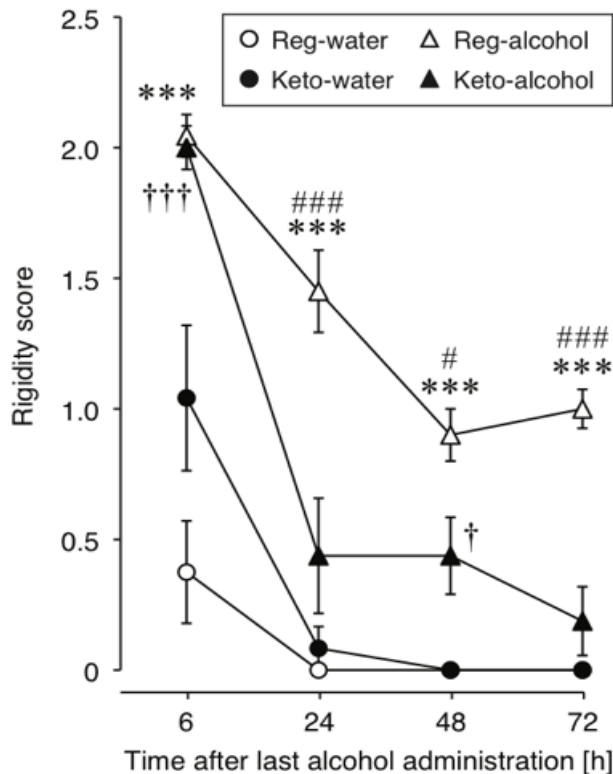
- **High fat, low carbohydrate** diet
- Frequently used for reducing seizures in epilepsy
- **Metabolic Ketosis:** cells are using ketone bodies for fuel rather than glucose: **aceto-acetate,  $\beta$ -hydroxybutyrate and acetone**



Diet compositions **Grams of fat: protein+carbohydrates**

# Ketogenic diet and alcohol withdrawal

- KD reduces withdrawal in rats [Derr, 1981](#)
- KD lowers **body rigidity** and **irritability** during detox:



[Dencker,](#)  
[Fink-Jensen et al](#)  
[ACER, 2018](#)

n=48, 12 per  
group

# Aims and Hypotheses

- To assess effects of KD in human AUD on:

**Aim 1** Withdrawal symptoms (CIWA), benzodiazepine use and alcohol craving

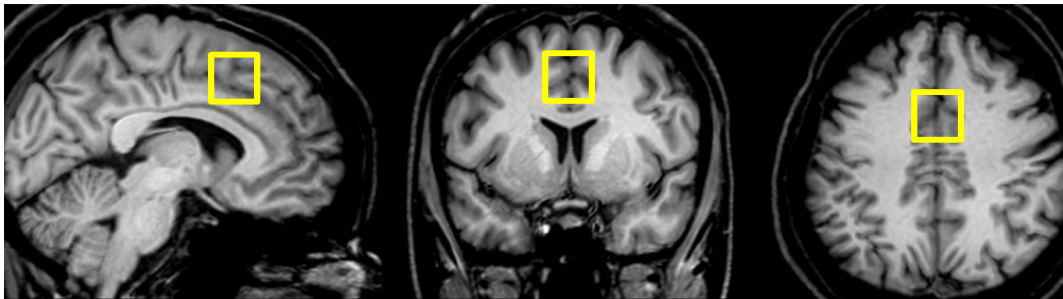
*H: KD reduces withdrawal symptoms, need for benzodiazepines, and craving*

**Aim 2** Ketone bodies in brain using  $^1\text{H}$ -MRS

*H: Ketones in brain mediate effects of KD on withdrawal and craving*

# Methods

- Patients with AUD undergoing detoxification and inpatient treatment (N=33)
- Within 2 days: randomly assigned to **KD** or **Standard American** (double blind) for 3 weeks
- Daily withdrawal and craving ratings
- Weekly  $^1\text{H}$ -MRS scans, fMRI Alcohol Cue Reactivity



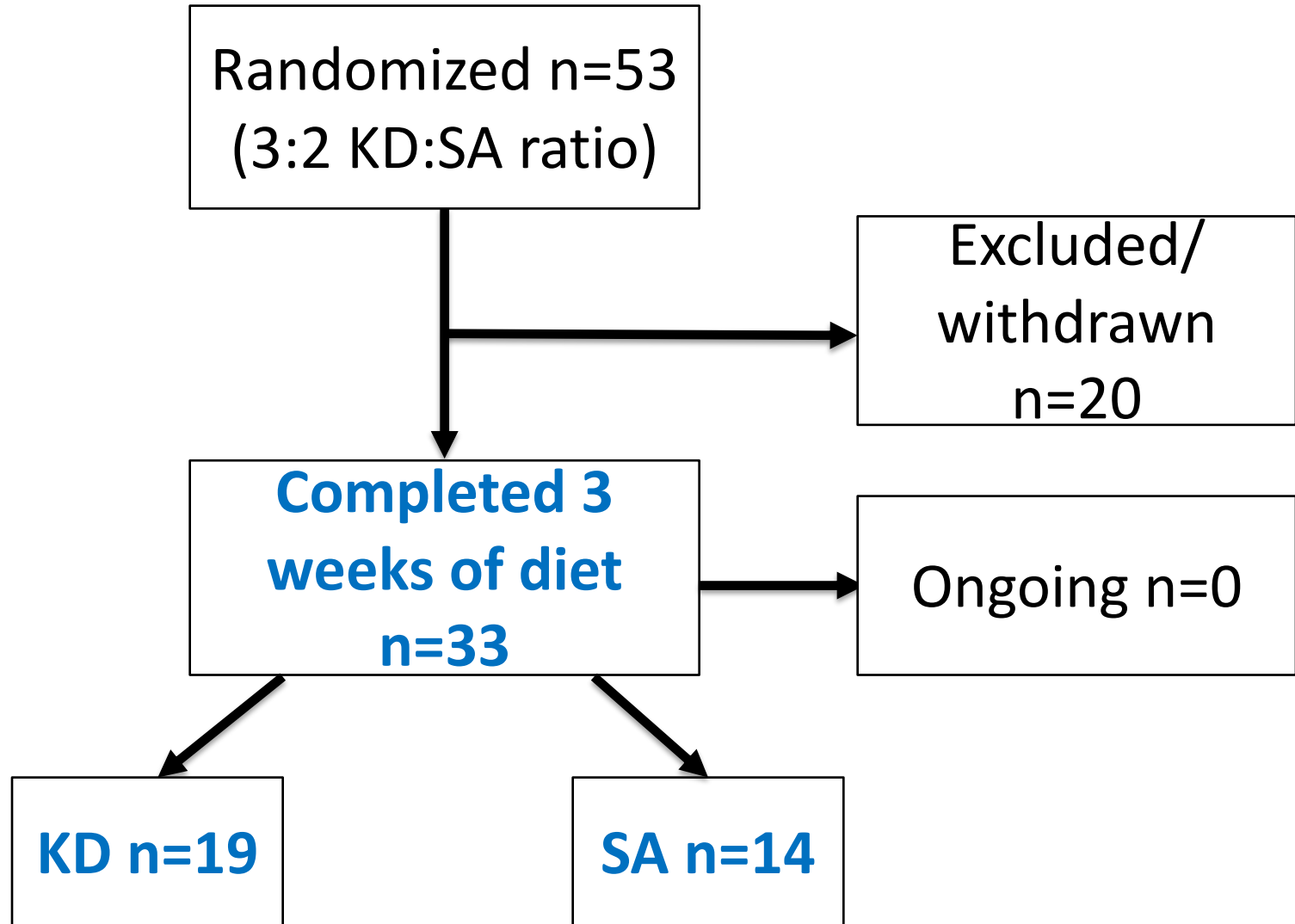
**Anterior Cingulate Cortex**

# Methods: KD/SA diet (isocaloric)

- **Shakes:** chocolate, blueberry, raspberry, strawberry, and peanut butter
- **Snacks:**
  - Avocado Dip with Veggies
  - Beef Broth
  - Cauliflower and Cheese (low carb version of mac and cheese)
  - Buffalo Chicken Dip
  - Chicken Broth
  - Chocolate Mousse
  - Salad
  - Scrambled Eggs
  - Tuna and Celery
  - Vegetable Broth
  - Yogurt and Pecans



# Current sample

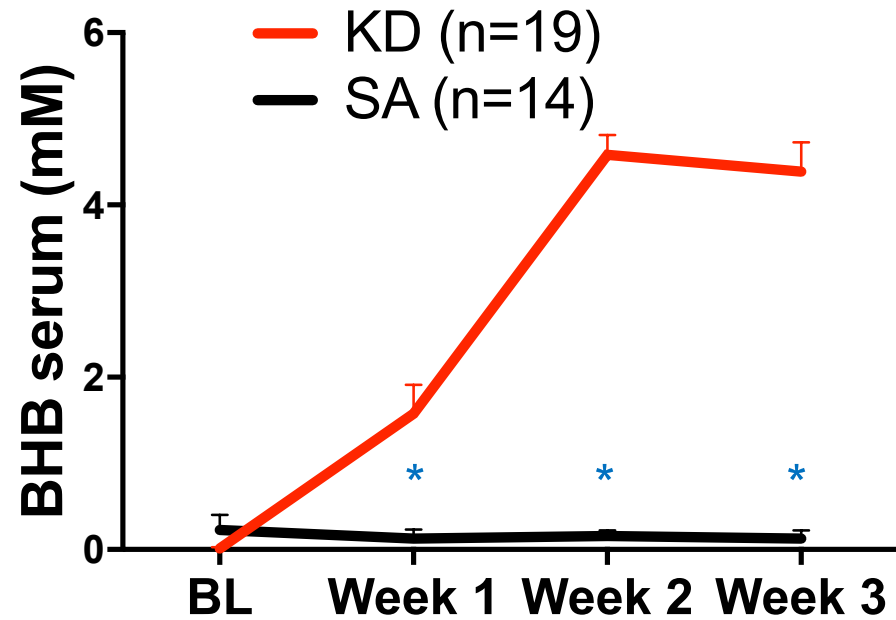
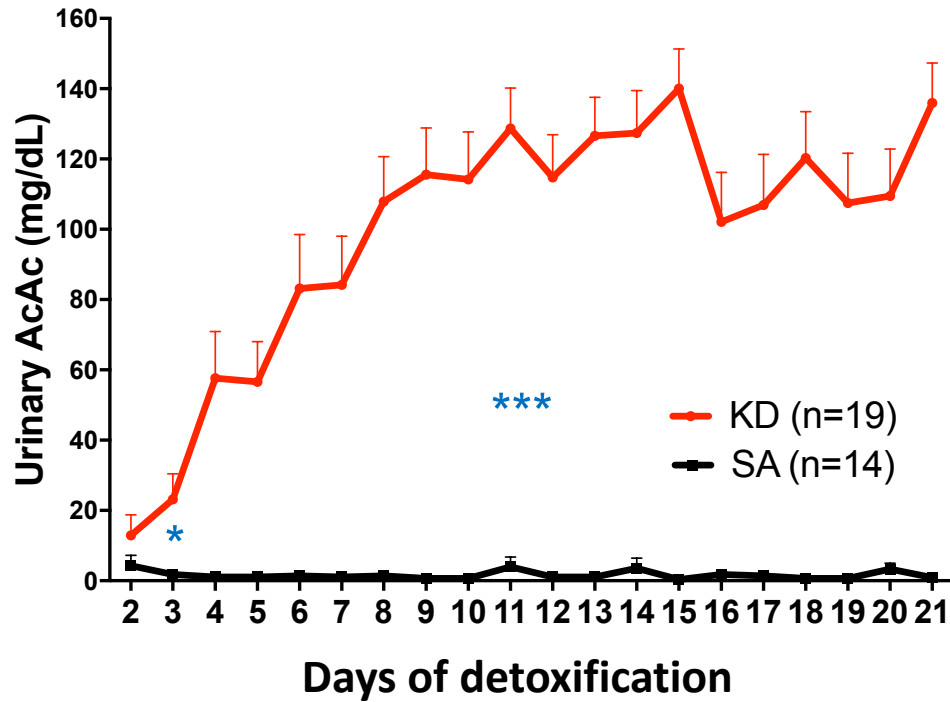


# Sample characteristics

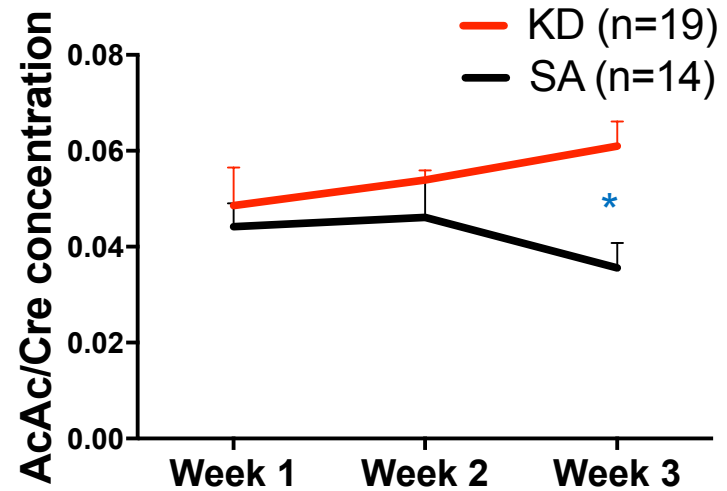
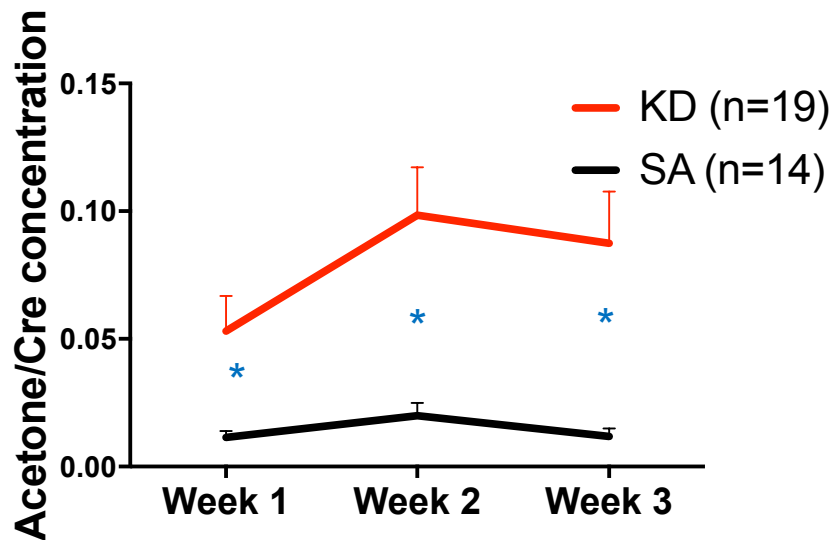
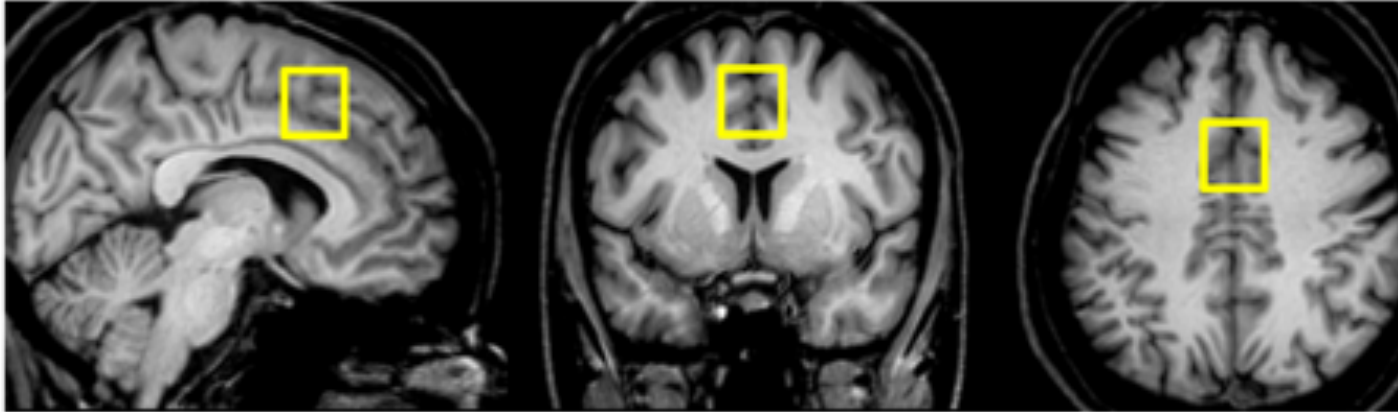
	<b>KD <i>n</i>=19</b>	<b>SA <i>n</i>=14</b>	<b><i>p</i>-value</b>
<b>Age</b>	39.3 ± 11	44.2 ± 16	.31
<b>Sex</b>	7 F, 12 M	3 F, 11 M	.34
<b>BMI</b>	24.5 ± 3	27.5 ± 5	.051
<b>Weight loss during 3-week study (kg)</b>	1.4 ± 3	1.8 ± 2	0.69
<b>Max CIWA withdrawal at admission</b>	9.9 ± 6	7.9 ± 4	.23
<b>Drinks/day</b>	15.2 ± (8)	17.0 ± (10)	.58
<b>Heavy Drinking years</b>	12.5 ± 8	15.9 ± 8	.24
<b>Days since last drink at admission</b>	0.2 ± 0.2	0.4 ± 0.3	.49



# Urine and blood ketones



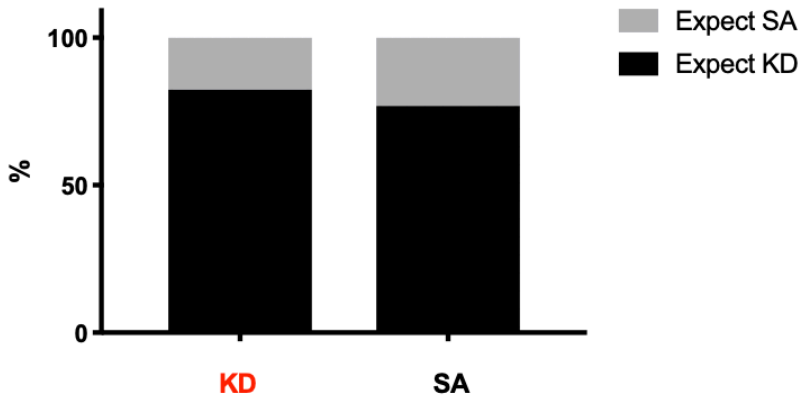
# $^1\text{H}$ -MRS brain ketones



However, no reliable measures of BHB with standard PRESS sequence

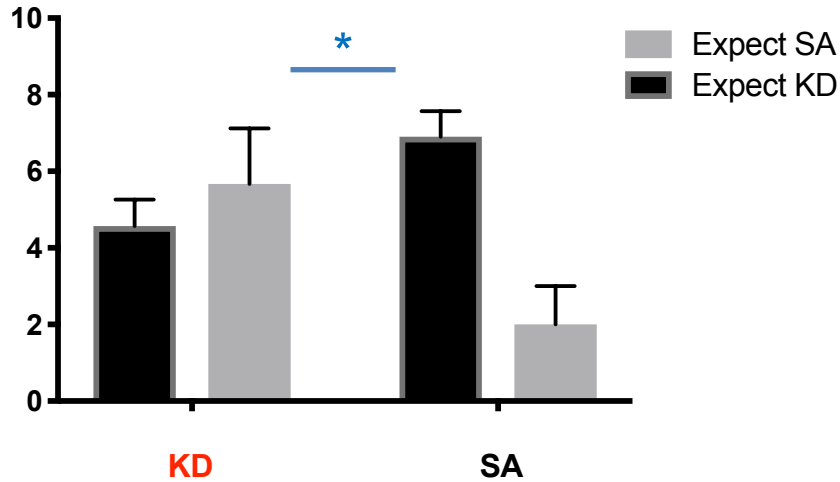
# End of diet evaluation

Diet expectations

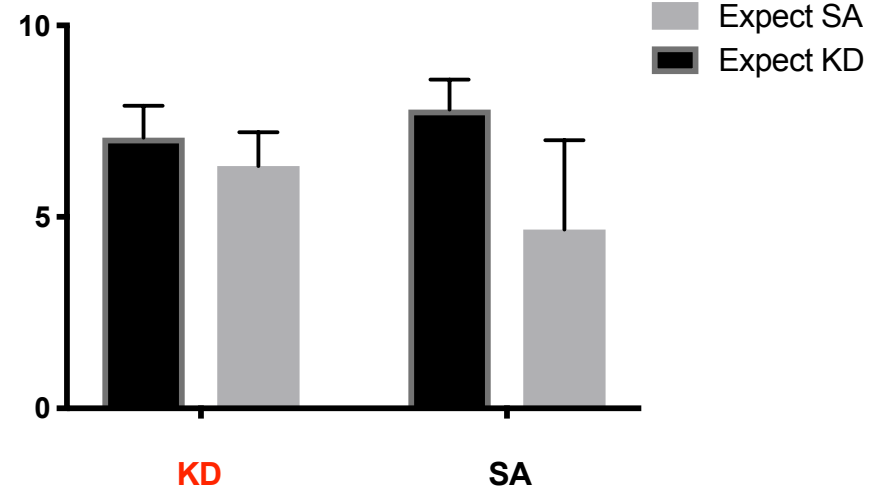


No effect of diet expectation  
 $\chi^2=0.14, p=0.71$

Pleasant (0-10)



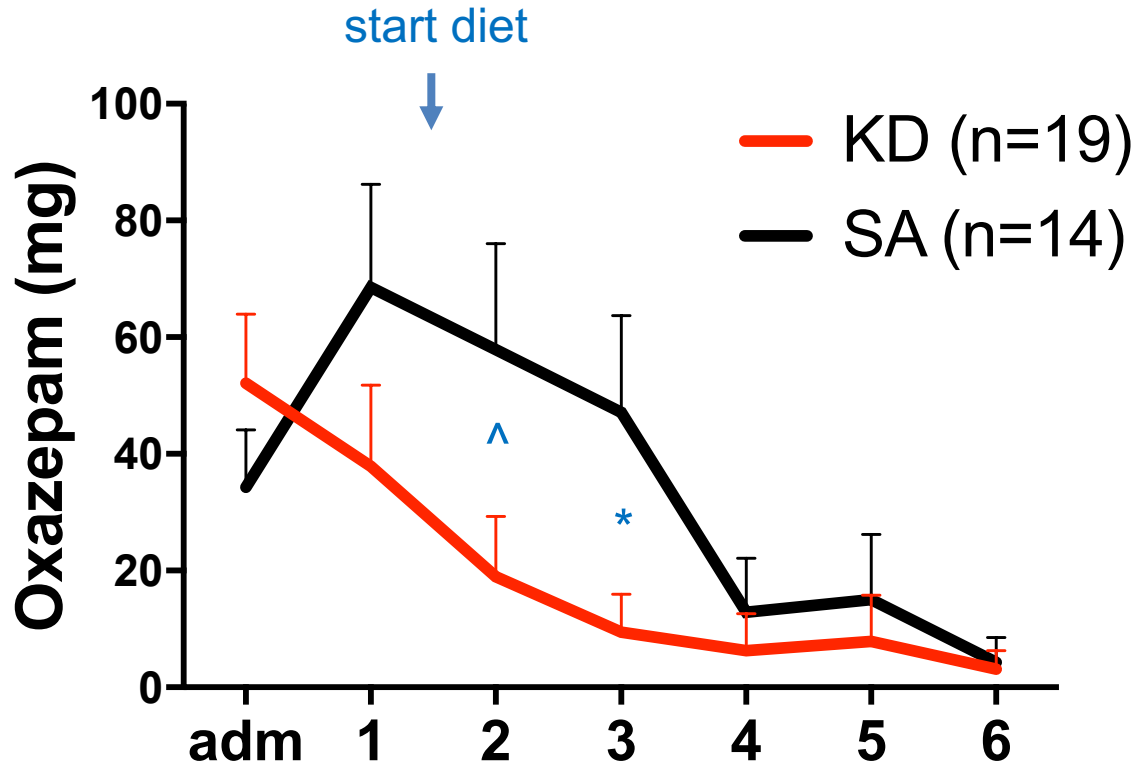
Improve Health (0-10)



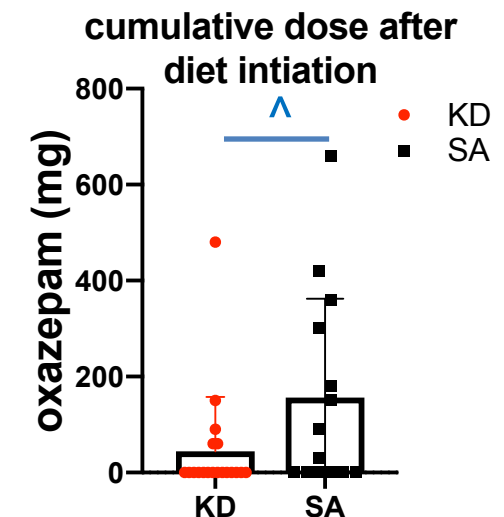
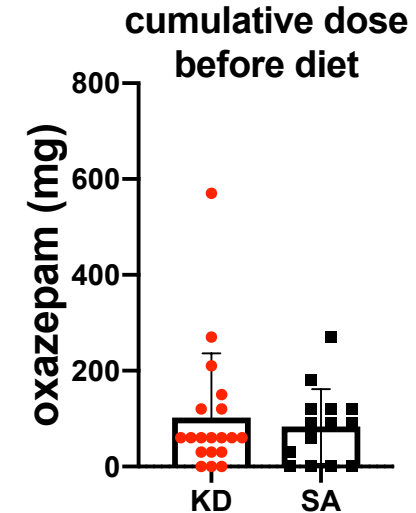
\* Interaction diet x diet expectation for pleasantness ( $F=7.6, p=0.01$ )

# Benzodiazepine intake: dose

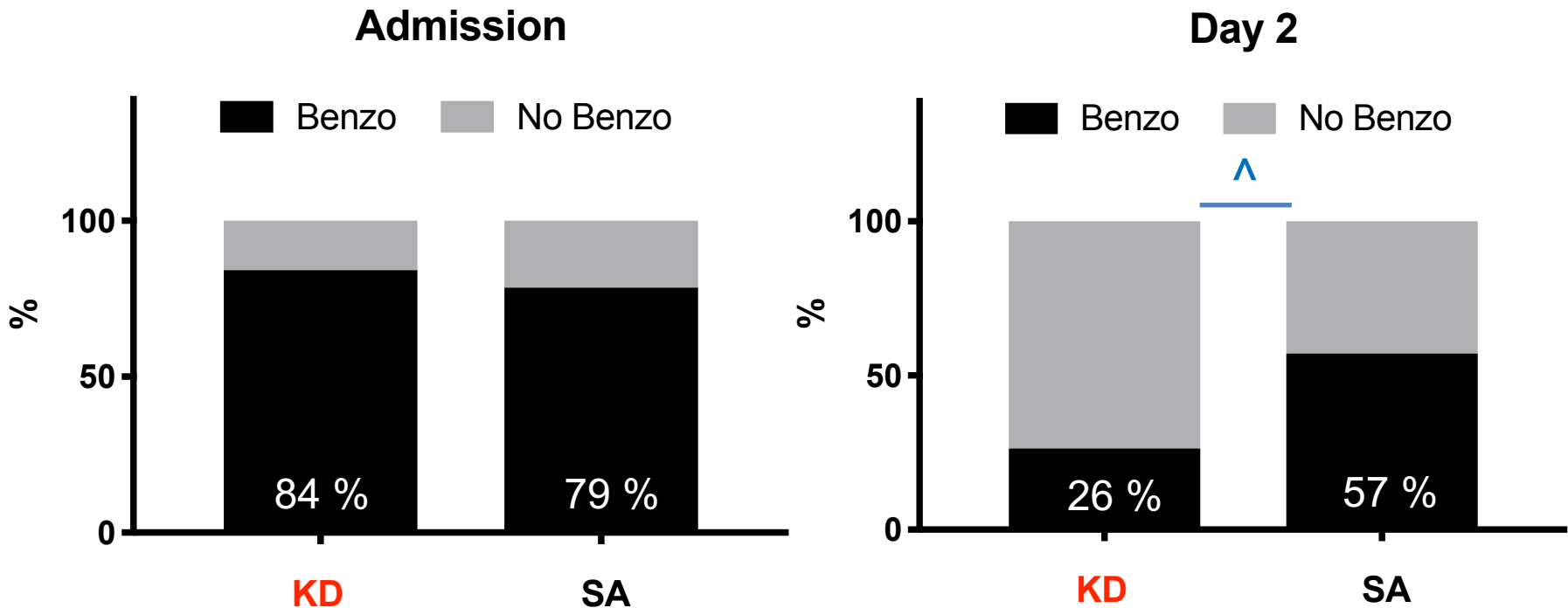
Diazepam: Oxazepam 1:3



Significant effect of diet x time ( $F=2.6$ ,  $p=0.01$ ), with KD showing lower benzodiazepine use after diet initiation

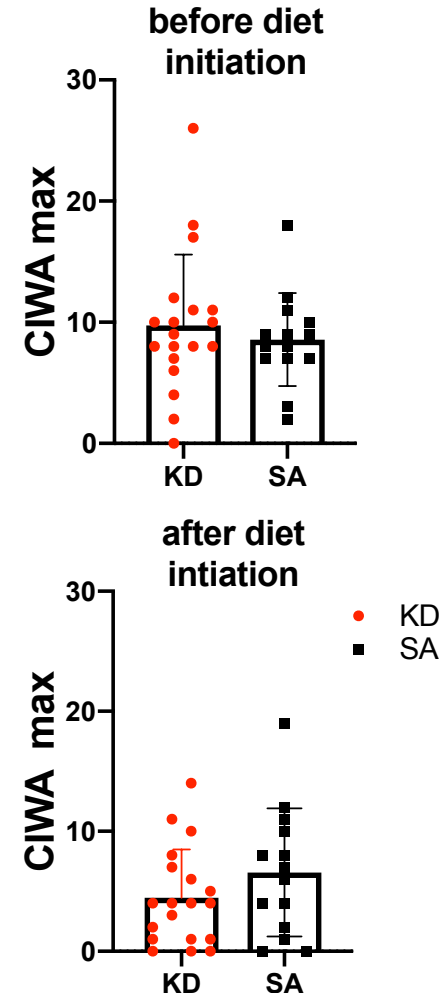
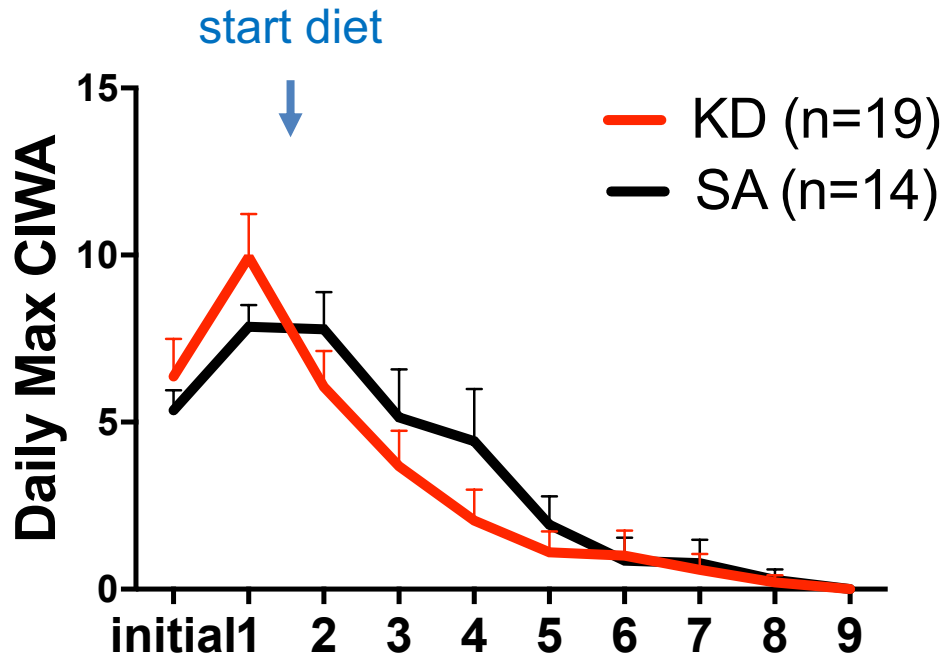


# Benzodiazepine intake



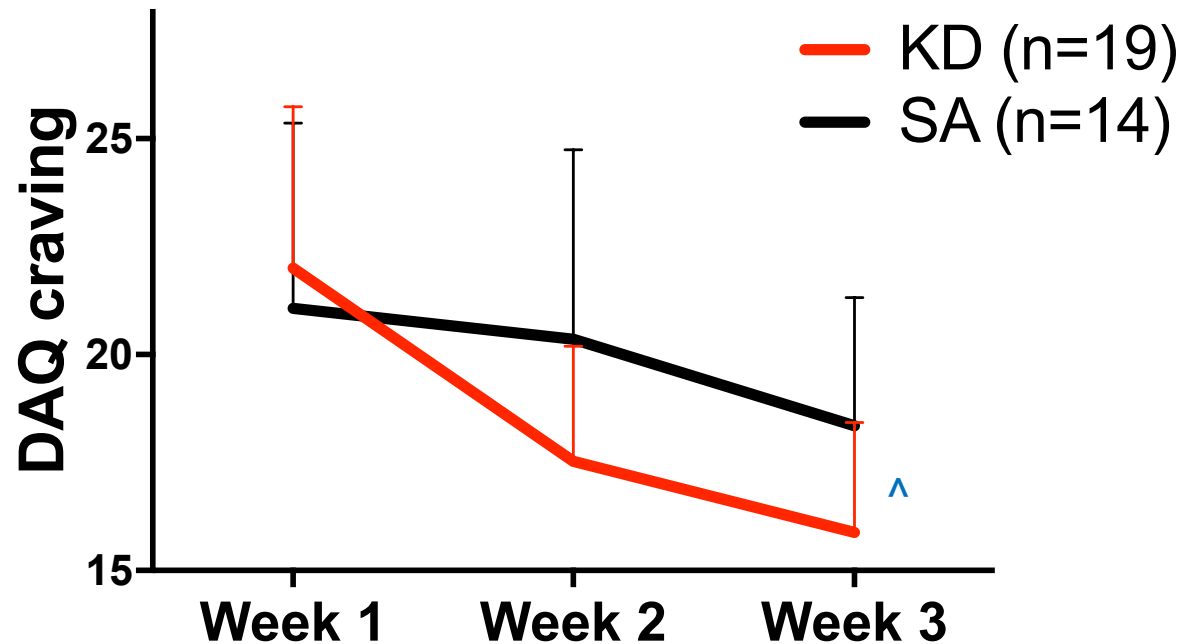
After diet initiation, there was a trend effect of fewer AUD inpatients needing benzodiazepines in the KD group compared to SA ( $X^2=3.2$ ,  $p=0.073$ )

# Alcohol withdrawal: CIWA score



Benzodiazepines are prescribed when CIWA is  $\geq 8$ , which may explain lack of effect of KD on withdrawal

# Desire for Alcohol Questionnaire (craving)



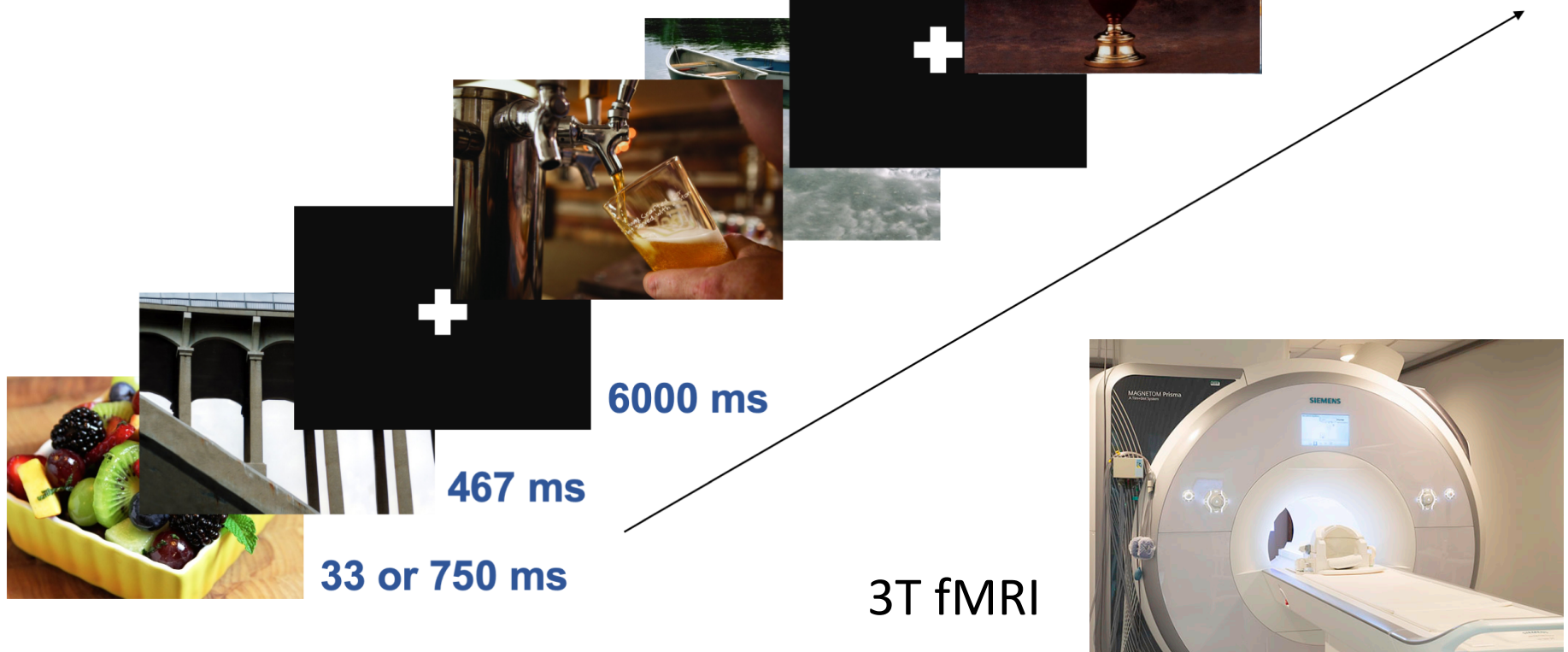
^ Self-reported alcohol craving on the Desire for Alcohol Questionnaire reduced in KD at trend level ( $t=1.9$ ,  $p=0.07$ ) but not in the SA group ( $t=.9$ ,  $p=0.4$ )

# Alcohol cue-induced brain reactivity

## Cue Reactivity

### Backward masking task

Food, Alcohol, Neutral



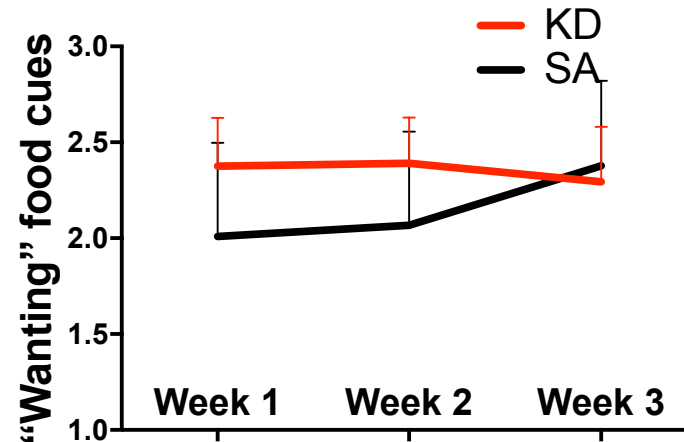
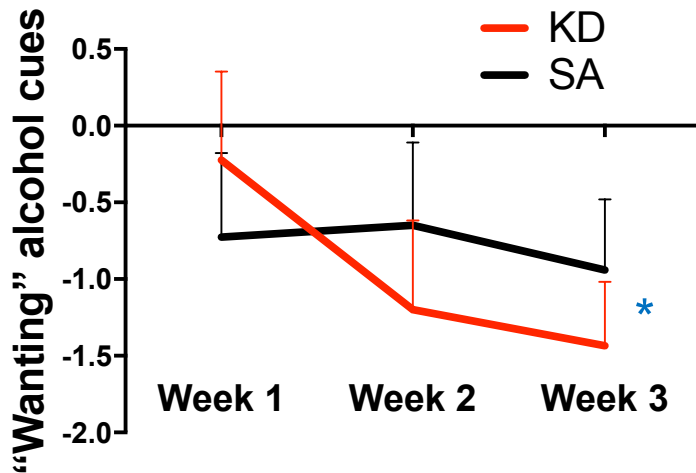
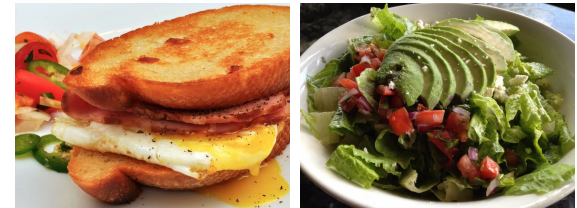


# Subjective ratings: How much do you want this right now? (-3 – 3)

40 alcohol cues

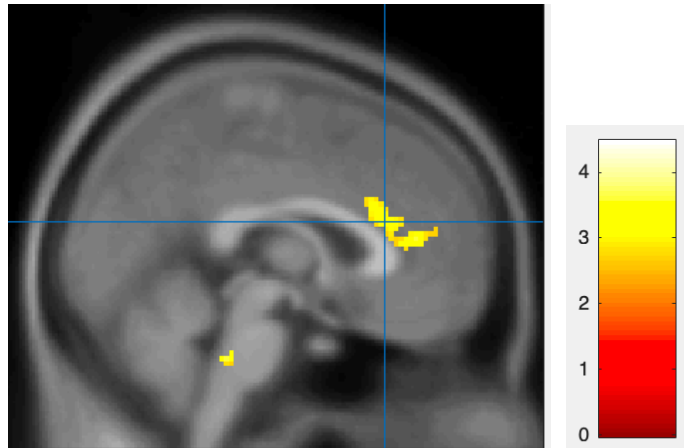
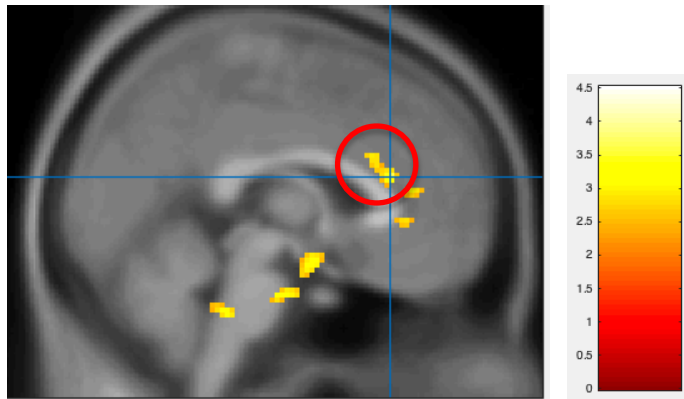


40 food cues

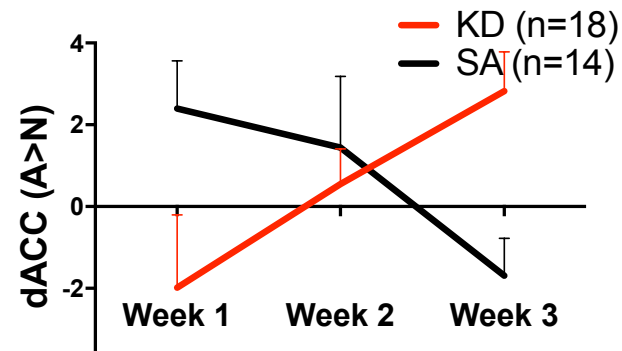


\* "Wanting" ratings of alcohol > neutral cues used in the fMRI cue reactivity task reduced in the KD group ( $t=3.4$ ,  $p=0.003$ ) but not in SA ( $t=.84$ ,  $p=0.42$ ), and the interaction effect of time x group was significant ( $F=4.9$ ,  $p=0.048$ ). No effects for food cues.

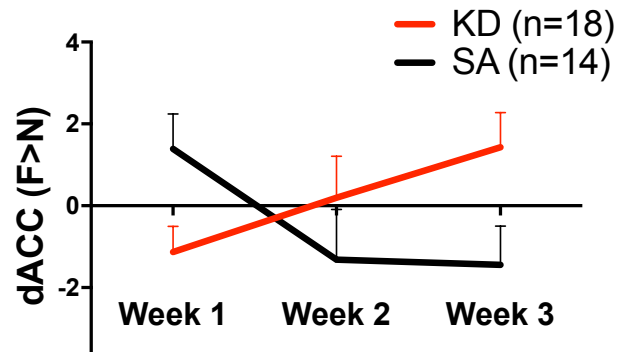
# Group x time interaction in dorsal ACC



## Alcohol > Neutral cues

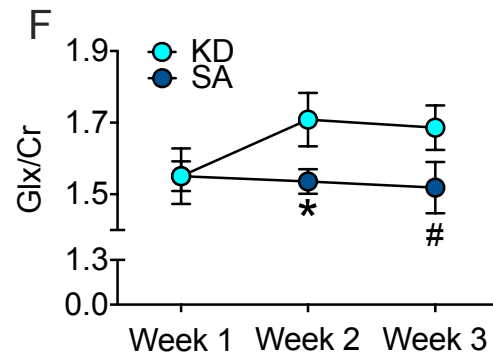
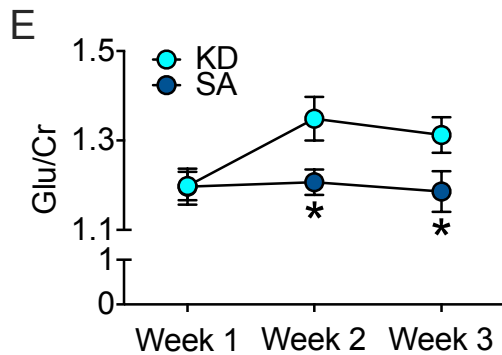
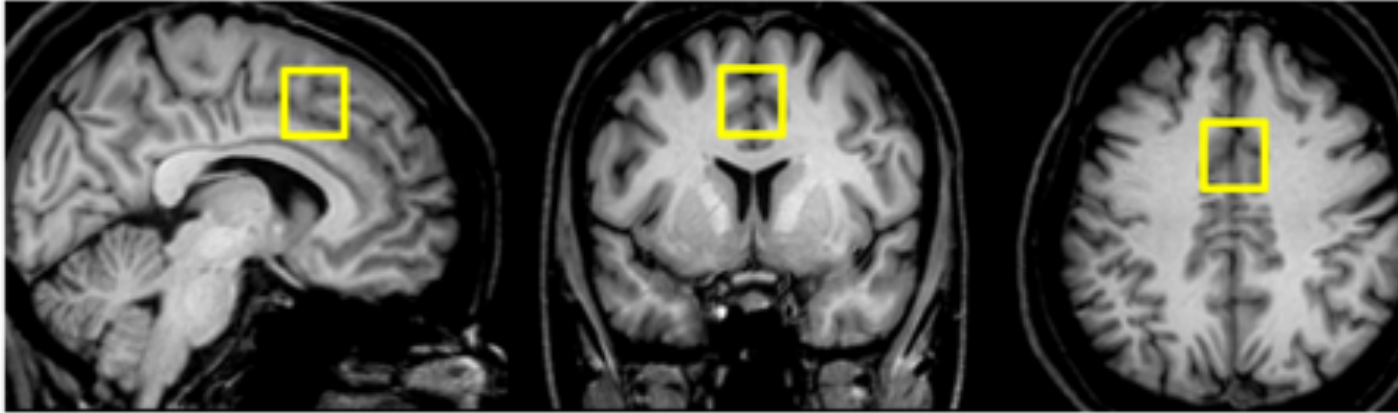


## Food > Neutral cues

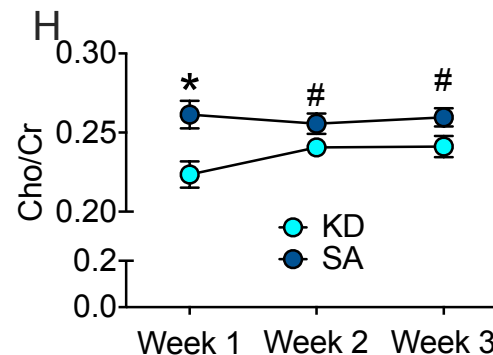
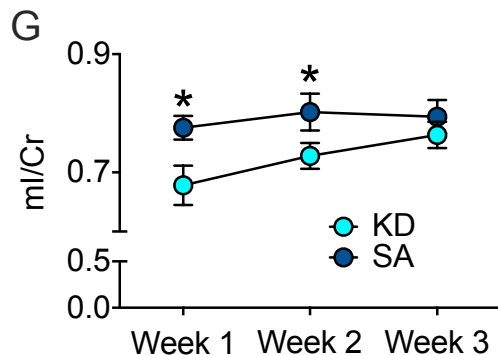


dACC responses to both alcohol and food cues increased in KD versus SA. Region implicated in self-control and processing salience attribution.

# KD's effect on other metabolites



KD increased Glutamate and Glutamine – alternative energy source?



KD decreased myo-inositol and Choline – markers of neuroinflammation?

# Summary

- First KD intervention study in human AUD:  
4:1 KD diet well tolerated
- KD lowers benzodiazepine intake and  
(hence) no effect on withdrawal
- KD reduced “wanting” of alcohol cues, and  
alcohol craving at trend level
- KD increased Acetone and AcAc in dACC,  
and elevated reactivity to alcohol cues may  
indicate enhanced control over alcohol

# Many thanks to:

Nora Volkow  
Gene-Jack Wang  
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Erin Biesecker  
Katherine McPherson  
Sara Turner  
Shanna Yang  
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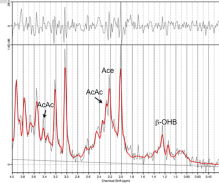
Nancy Diazgranados  
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Ted George  
Yvonne Horneffer  
Mackenzie Cervenka  
Melanie Schwandt  
George Koob  
Leandro Vendruscolo





# Talk Outline

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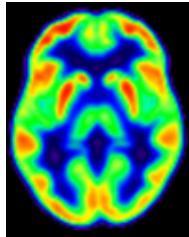
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Brain metabolism and  
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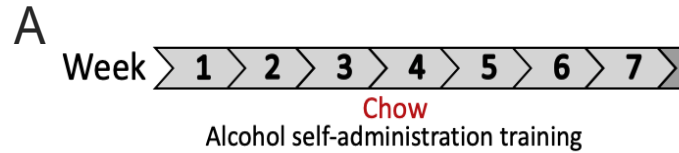
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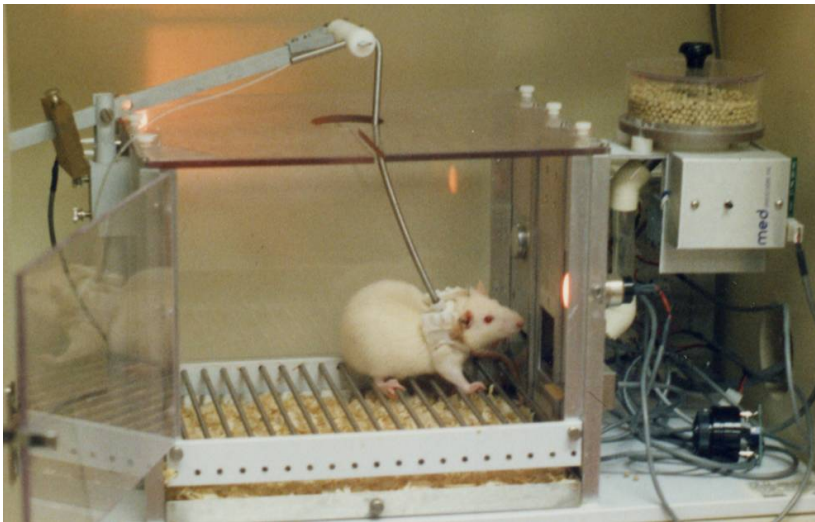
## Part 4:

Future Directions

# Does ketosis influence alcohol consumption?



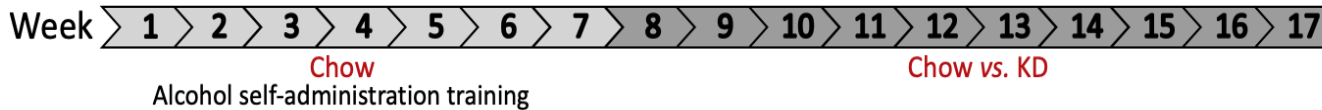
n=36 Wistar rats



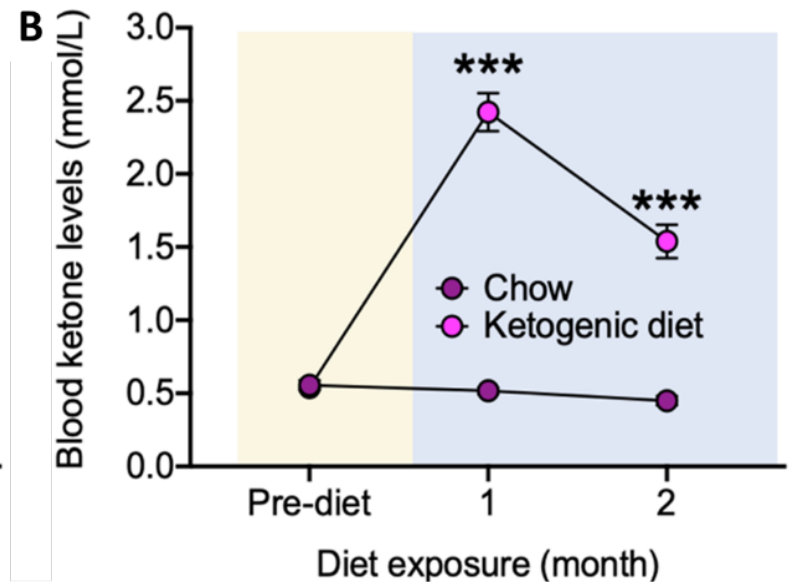
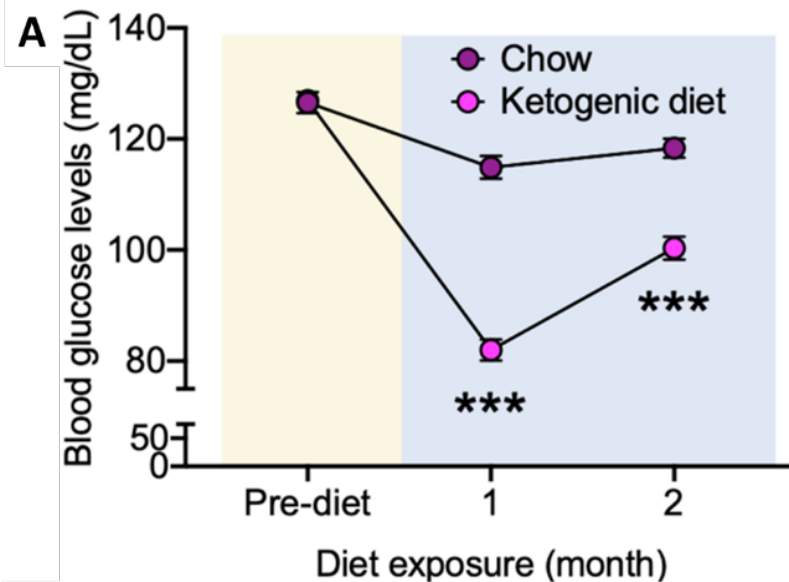


# Does ketosis influence alcohol consumption?

A

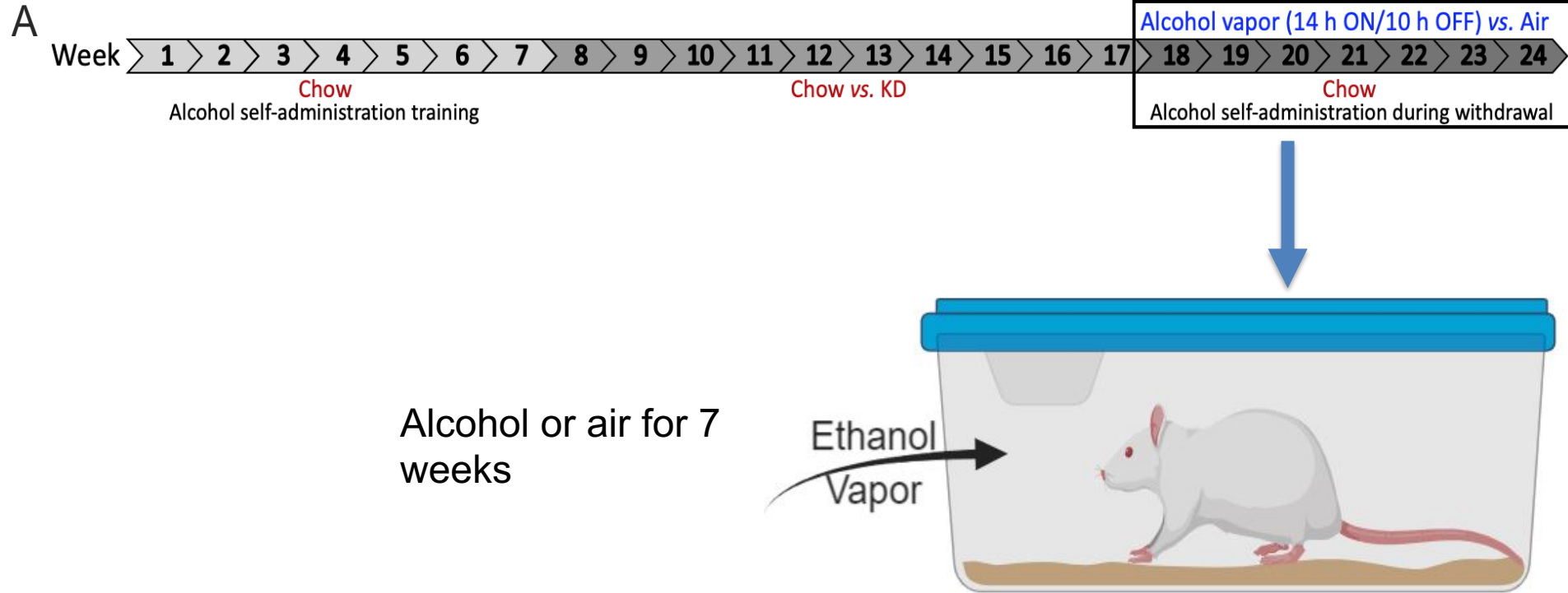


n=18 KD  
n=18 Chow  
for 2 months

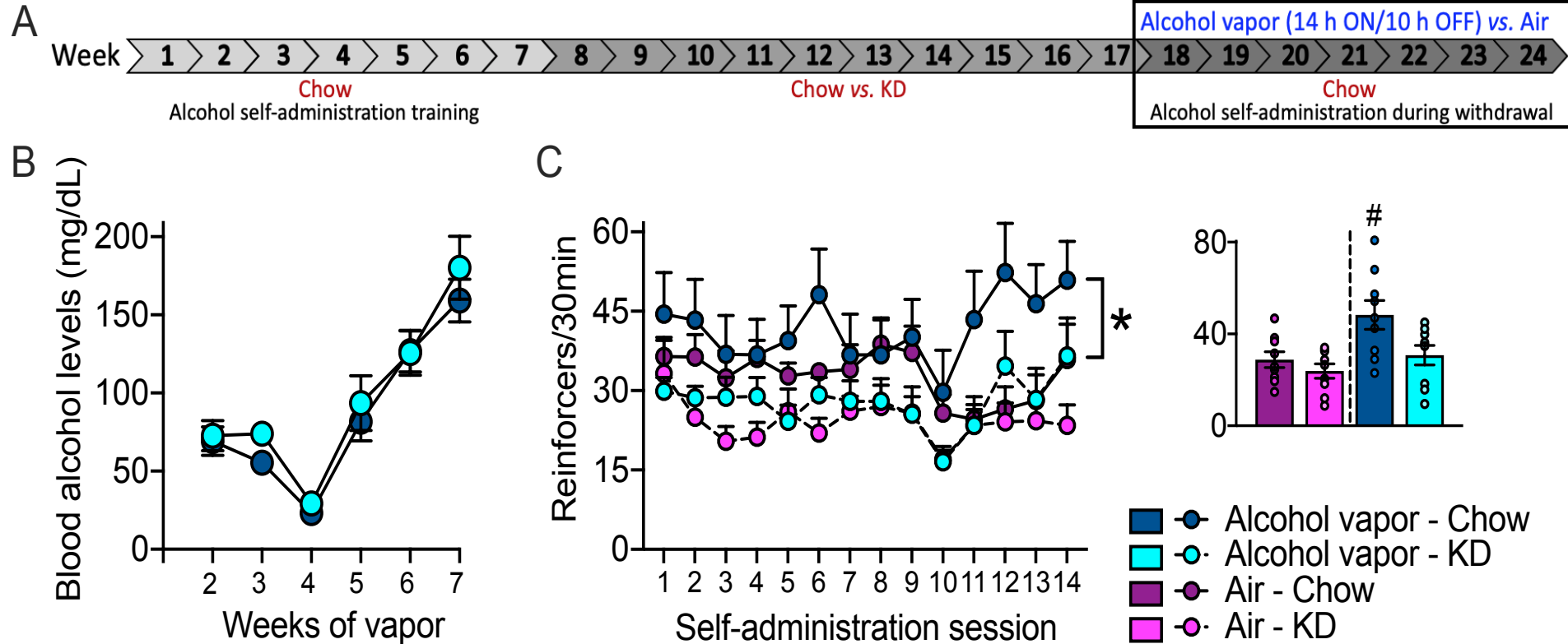




# Does ketosis influence alcohol consumption?



# Does ketosis influence alcohol consumption?



**History of KD lowers alcohol consumption**

# R00 proposal



**Aim 1:** to test whether metabolic ketosis decreases alcohol consumption in AUD outpatients

***H: ketosis lowers alcohol consumption***

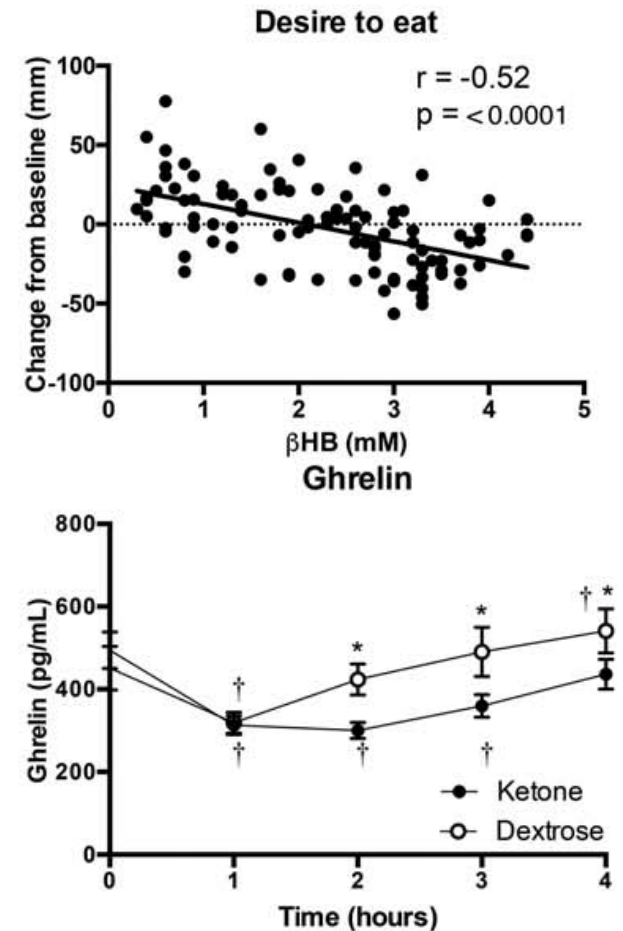
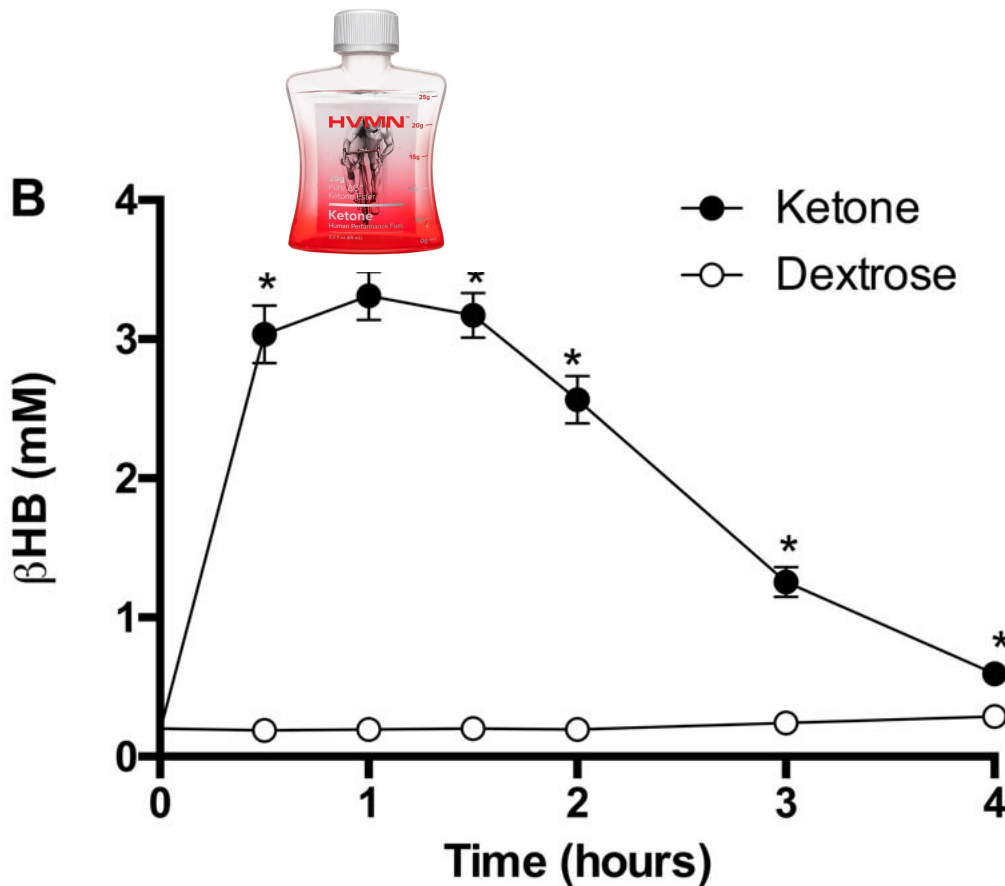
**Aim 2:** to measure effects of metabolic ketosis on brain ketone bodies

***H: Ketones in brain mediate effects of ketosis on alcohol consumption***

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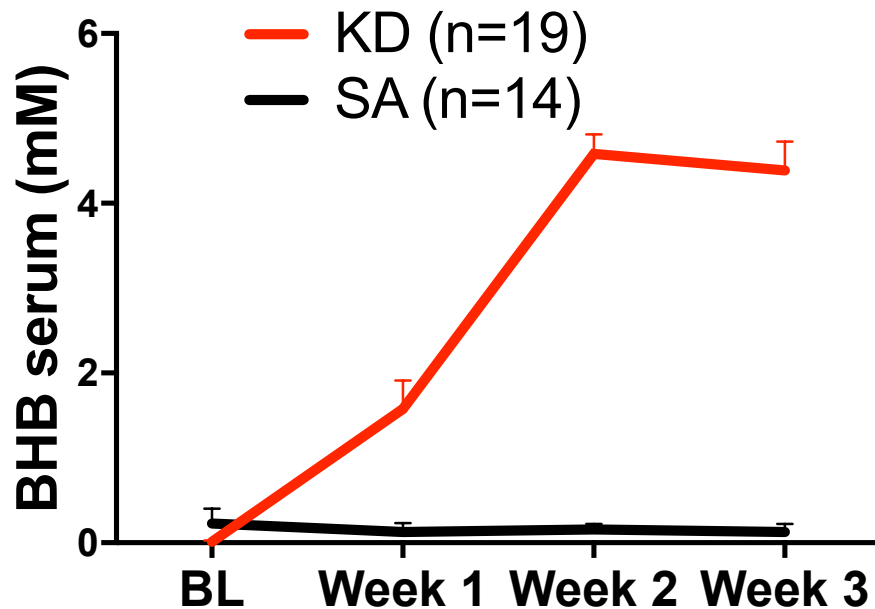
## A Ketone Ester Drink Lowers Human Ghrelin and Appetite

Brianna J. Stubbs, Pete J. Cox, Rhys D. Evans, Malgorzata Cyranka, Kieran Clarke, Heidi de Wet

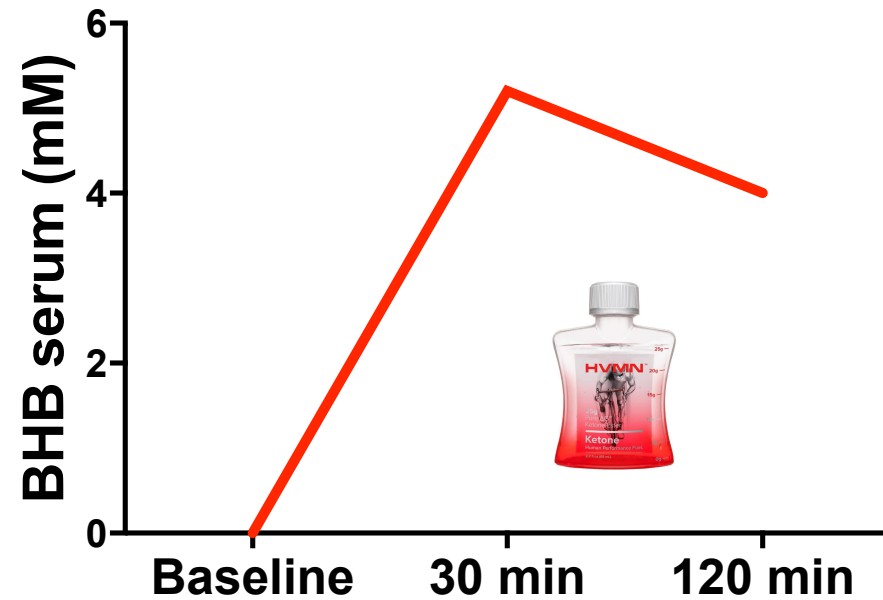


# Blood ketones in KD vs Ketone Ester

K99: **Ketogenic Diet** in AUD



N=1 **Ketone Ester** “pilot” data



**Comparable BHB levels 2-weeks diet versus 30 mins after HVMN ketone ester**

# Proposed R00 Design at Penn

n = 20 AUD patients (non-treatment seeking)

n = 20 Healthy volunteers

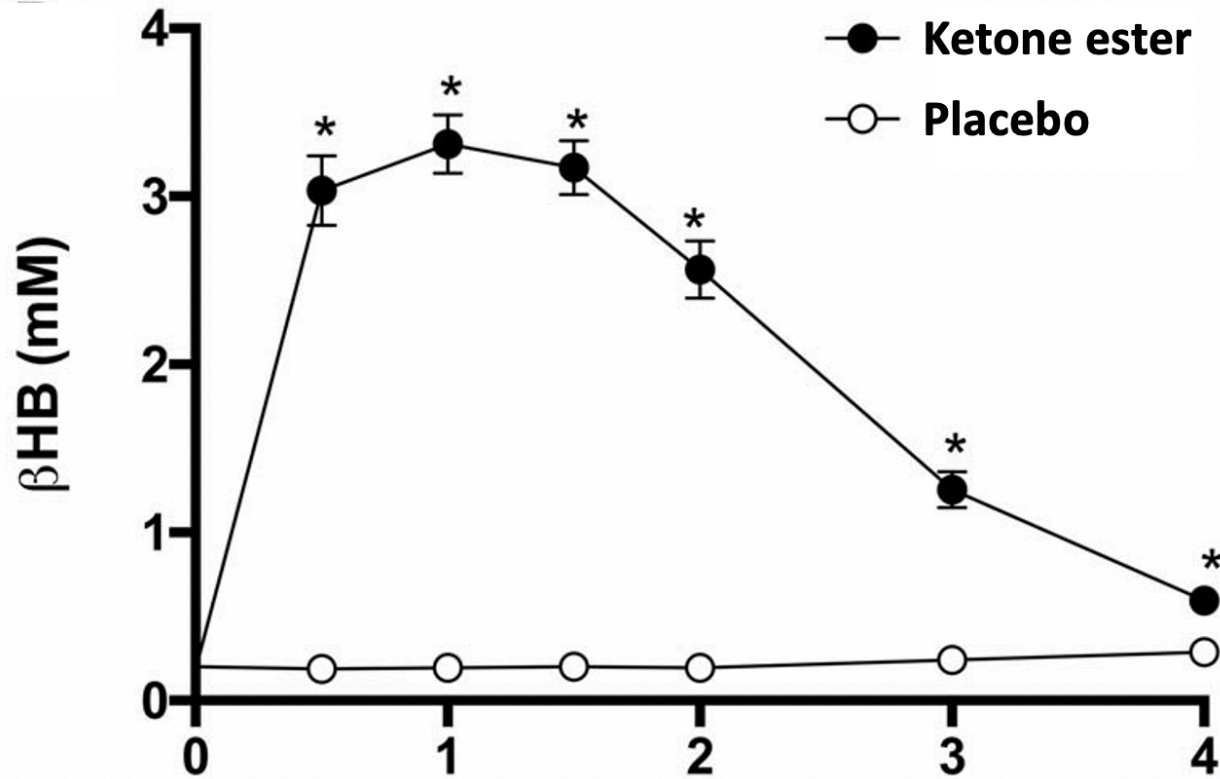
*Visit 1*    **Ketone  
Ester**

*Visit 2*    **Placebo**



Random order:  
2-way crossover

# R00 Design: study visits



Curve from  
Stubbs et al,  
2018

↑ Ketone ester intake    ↑ MRI scan    ↑ Alcohol self-administration paradigm

# 1-hr MRI scan (3T Prisma - Hup6)

- T1/T2
- fMRI Alcohol Cue Reactivity
  - H: Ketone Ester normalizes cue-induced craving and brain reactivity
- MRS: BHB edited sequence
- H: Faster elevation of BHB in AUD patients than controls



# Alcohol self administration: Bar lab

## Priming drink

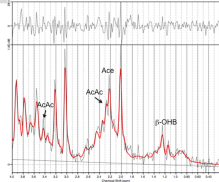
BrAC to 0.02-  
0.03 g/dL



Each participant received 16\$ with which they could purchase 8 alcoholic mini-drinks (2\$ each) over the course of 2 hours (max BrAC .1g/dL) OR keep the money



# Talk Outline



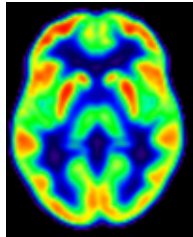
## **Part 1: K99**

Brain metabolism and alcohol withdrawal



## **Part 2: R00**

Brain metabolism and alcohol consumption



## **Part 3: B2B proposal**

Brain metabolism and alcohol tolerance

## **Part 4:**

Future Directions

# Bench to Bedside proposal (pending)

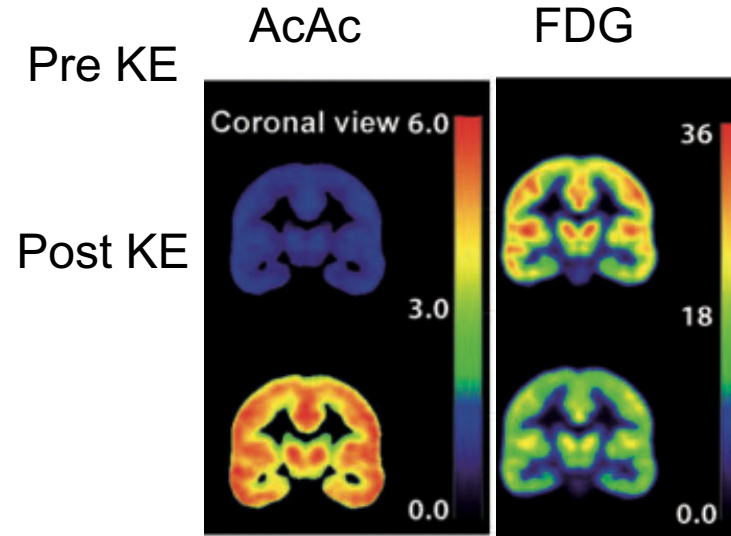
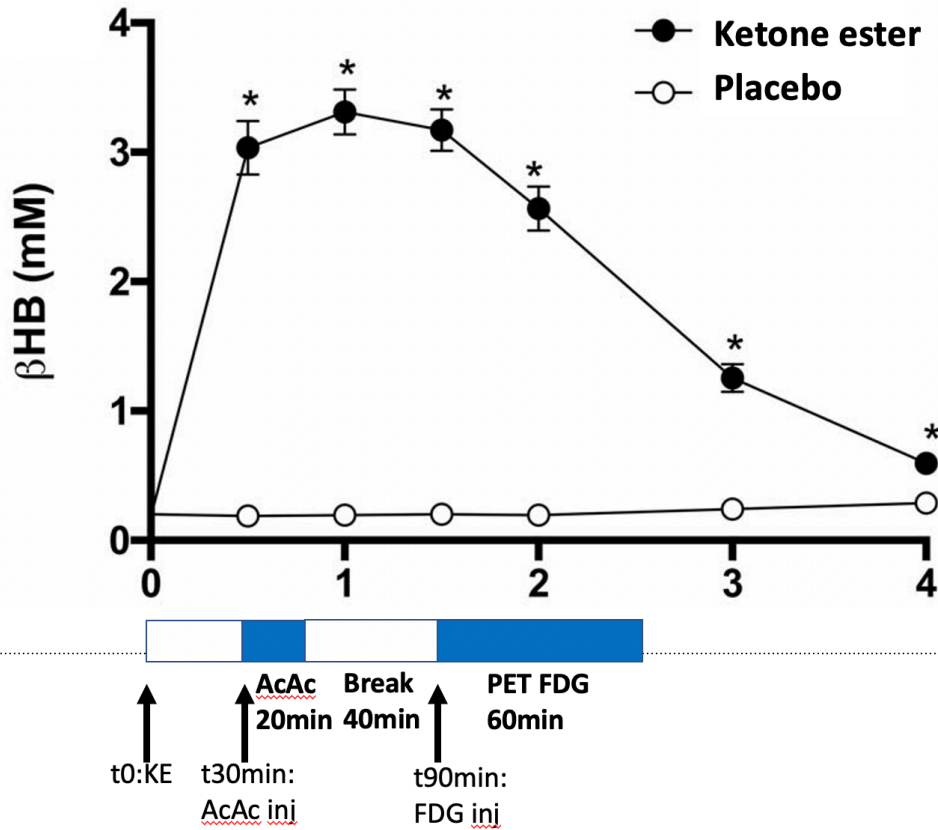
To test the effect of metabolic ketosis on  
(1) brain Acetoacetate ( $[^{11}\text{C}]\text{AcAc}$ ) in AUD

*H:* KE-induced uptake of  $[^{11}\text{C}]\text{AcAc}$  will be faster in AUD than controls, reflecting enhanced brain uptake of AcAc as an alternative to glucose as an energy source in alcohol dependence

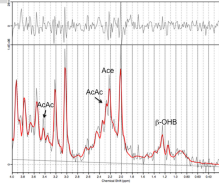
**(2):** alcohol tolerance in AUD

*H:* Individuals with AUD will be more sensitive to the effects of alcohol

# PET scan design



# Talk Outline



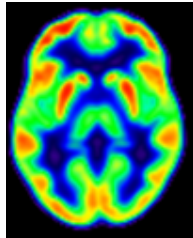
## **Part 1: K99**

Brain metabolism and alcohol withdrawal



## **Part 2: R00**

Brain metabolism and alcohol consumption



## **Part 3: B2B proposal**

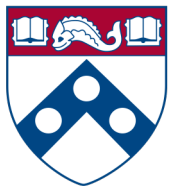
Brain metabolism and alcohol tolerance

## **Part 4:**

Future Directions

# Future Directions

- Collaboration with Paco Bravo: effects of Ketone Ester on Glucose/AcAc metabolism in heart and liver etc (whole body PET scan)
- Larger clinical trial of KD or KE on alcohol consumption in AUD patients
- Effects of metabolic ketosis on NAD<sup>+</sup>/NADH (brain energy) in AUD (31P-MRS)



Perelman  
School of Medicine  
UNIVERSITY of PENNSYLVANIA

# Thank you!



National Institute  
on Drug Abuse



National Institute  
on Alcohol Abuse  
and Alcoholism

Hank Kranzler

David Mankoff

Reagan Wetherill

Anna Rose Childress

Jacob Dubroff

Robert Mach

Robert Doot

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