

Understanding Resubmission Rules & How to Follow the Application Guide, FOA, and Guide Notices

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Agenda

- Overview
- Understanding the Resubmission Rules
- Which Instructions to Follow: Application Guide, Funding Opportunity Announcement, or the Guide Notice

Overview

- What to Expect:
 - A clear understanding of the rules for submitting a NIH Resubmission Application.
 - How to prioritize the instructions for your application as it relates to following the Application Guide, Funding Opportunity Announcement, or the Guide Notice.

Understanding the Resubmission Rules

- Only a single resubmission (A1) of a competing *new*, *revision* or *renewal* application (A0) will be accepted.
- Following an unsuccessful *resubmission* (A1) application, applicants may submit the same idea as a *new* (A0) application for the next appropriate *new* application due date (see NOT-OD-18-197) for exceptions).
- Resubmissions (A1s) must be submitted within 37 months of the last competing *new*, *revision*, or *renewal* (A0) application.

Note that the A1 application will be reviewed using the review criteria in the current FOA, not the criteria in the FOA used for the A0 submission.



Understanding the Resubmission Rules

- If your resubmission of a new application (A1) is not awarded, you can try again as a new (A0) application. Remember, not to mention your previous submission or reviewer feedback anywhere in your application - not even the cover letter.
- It's okay to make any changes necessary to address reviewer feedback and strengthen your application, just remember not to explicitly point out what you've changed or why. When you submit your new (A0) application, it will be treated as if NIH has never seen it before and it'll receive a new application number with no comparisons to previous submissions.
- If your *resubmission* of a *renewal* application is not awarded, then you must come back in as a **new** application on a due date for new applications. You are only allowed the original *renewal* application (A0) and the single *resubmission* of the *renewal* (A1) and that is it.

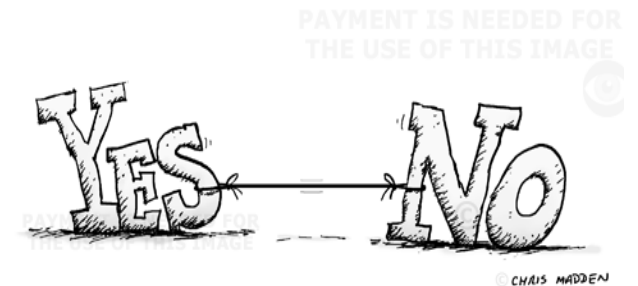
Understanding the Resubmission Rules

- If neither application is awarded, you lose continuity with your previous award. Your new application can talk about your preliminary data and publications, but don't frame it as your accomplishments on your specific aims or your productivity under the past award. Your *new* application **should not** include a Progress Report nor Progress Report Publication List or mention that it follows your *resubmission* of a *renewal*.
- If you're not sure whether the application should be marked as new or resubmission, than an easy rule of thumb to remember is that any application that is submitted in response to a reviewer's feedback should be marked as **resubmission**.
- So, if an applicant is submitting a resubmission of a renewal or a resubmission of a revision, then resubmission should be chosen as the single application type.



How to Follow the Application Guide, FOA, and Notices

- You may find that the guidance in the application guide, FOA (Funding Opportunity Announcement), and/or a Guide Notice may conflict with each other.
 - The FOA instructions win over instructions found in the application guide whereas the Guide Notice instructions win over both the FOA and the application guide.



How to Follow the Application Guide, FOA, and Notices

The screenshot shows a web browser window displaying the homepage of the Office of Research Support Services (ORSS) at the Perelman School of Medicine, University of Pennsylvania. The browser's address bar shows the URL <https://www.med.upenn.edu/orss/>. The page features a blue header with the university logo and the text "Office of Research Support Services". Below the header is a photograph of a campus walkway. The main content area is divided into a left sidebar with navigation links and a main content area. The sidebar includes links for "Sponsored Program Basics", "PreAward Proposal Preparation", "Post Award Grant Management", "Policies and Procedures", "Frequently Used Forms", "Resources", "Internal Links", "External Links", "Sponsor Websites", "Other Resources", "Research Links", and "Staff Contact List". The main content area features a "Mission Statement" section, which states that the ORSS was established in February 2000 to promote research endeavors. Below this is an "Announcements" section with a list of recent news items, including required use of the xTRACT system, new submission steps, and implementation of the Common Rule. The browser's taskbar at the bottom shows the date and time as 4:21 PM on 8/15/2019.

Home | Office of Research Sup X

https://www.med.upenn.edu/orss/

Perelman School of Medicine UNIVERSITY of PENNSYLVANIA

Office of Research Support Services

Sponsored Program Basics

PreAward Proposal Preparation ▾

Post Award Grant Management ▾

Policies and Procedures

Frequently Used Forms

Resources ▾

Internal Links

External Links

Sponsor Websites

Other Resources

Research Links

Staff Contact List

Mission Statement

The Office of Research Support Services (ORSS) was established in February 2000 to promote the research endeavors of the School of Medicine Faculty. In this role, the ORSS:

- Provides assistance in developing proposals to outside funding agencies.
- Serves as a resource for the School of Medicine regarding sponsor policies and federal regulations.
- Provides training in pre and post award grants management.

Announcements

Welcome to the ORSS homepage. Look here for special announcements on the latest news from NIH, as well as notices about upcoming grant deadlines and other important information.

- **05/21/19** Required Use of the xTRACT System to Prepare Data Tables for Training Grant Research Performance Progress Reports in FY 2020 (NOT-OD-19-108)
- **01/22/19** New 2-Step Submission for RPPR's with Inclusion Enrollment Data
- **01/02/19** NIH Implementation of the Final Rule on the Federal Policy for the Protection of Human Subjects (Common Rule) (NOT-OD-19-050)
- **11/07/18** Harassment and Discrimination Protections in NIH Training Applications (NOT-OD-19-029)

Announcements Archive

External Links

https://www.med.upenn.edu/orss/external-links.html

Desktop 4:21 PM 8/15/2019

How to Follow the Application Guide, FOA, and Notices



The screenshot shows the website for the Perelman School of Medicine, University of Pennsylvania, Office of Research Support Services. The page is titled "External Links" and lists various resources. The left sidebar contains a navigation menu with categories like "Sponsored Program Basics", "Policies and Procedures", and "Resources". The main content area lists external links, with several items highlighted in yellow.

Perelman School of Medicine UNIVERSITY of PENNSYLVANIA Office of Research Support Services

Home > Resources > External Links

External Links

- Federal Uniform Guidance
- Federal Demonstration Partnership
- Federal-wide Research Terms and Conditions Matrix [📄](#)
- Fly America Act
- IACUC (Institutional Animal Care and Use Committee)
- IMPAC codes (full list) [📄](#)
- NCI Grants Process Manual (process similar at any DHHS institute or center) [pdf](#) [📄](#)
- NIH (National Institutes of Health)
- NIH Commons
- NIH ERA
- NIH Grants Policy and Guidance
- NIH Grants Policy Statement [📄](#)
- NIH Guide for Grants and Contracts
- NIH Guide: Funding Opportunities and Notices
- NIH Guide: Program Announcements
- NIH Guide: Notices
- NIH Guide: Requests for Applications
- NIH - Modular Budget
- NIH Modular Research Grant Applications
- NOGA (Notice of Grant Award)
- OHRP (Office for Human Research Protections)
- OMB (Office of Management and Budget)
- PHS 398 Grant Application forms
- PHS 416-1 (NRSA Individual Fellowship application)
- PHS 2590 forms (Noncompeting Grant Progress Report)
- Research website (SOM)
- SNAP (Streamlined Non-Competing Award Process)
- Stipend levels (current) published in NIH Guide
- T - Award Help
- Training-related expense based on funding levels published in the NIH Guide

Search our site... [🔍](#)

[^](#)
TOP

How to Follow the Application Guide, FOA, and Notices

The screenshot shows a web browser window with the URL <https://grants.nih.gov/funding/index.htm>. The page header includes the NIH logo, the text "National Institutes of Health Office of Extramural Research", and "Grants & Funding NIH's Central Resource for Grants and Funding Information". A search bar is present with the text "Search this Site". A navigation menu contains links for HOME, ABOUT GRANTS, FUNDING (highlighted), POLICY & COMPLIANCE, NEWS & EVENTS, and ABOUT OER. The main content area features a breadcrumb "Home » Funding", a "Funding" section header, and a paragraph: "NIH offers funding for many types of grants, contracts, and even programs that help repay loans for researchers. Learn about these programs, as well as about NIH's budget process, grant funding strategies, and policies, and more." Below this is an image of a "Search" button on a keyboard. To the right is a "Grants (NIH Guide to Grants and Contracts)" section with a paragraph: "The NIH Guide for Grants and Contracts is our official publication for NIH grant policies, guidelines and funding opportunities. We publish daily, and issue a table of contents weekly. Learn more about the NIH Guide and subscribe today!" Below this is a link "View all Parent Announcements (for unsolicited applications)" and a search box for funding opportunities and notices. On the right side, there is a "Related Resources" sidebar with links for "Avoid Grant Scams", "NIH Funding Strategies", "Types of Grant Programs", and "Find and Understand Funding Opportunity Announcements".

Office of Research Support Ser x Funding | grants.nih.gov x

https://grants.nih.gov/funding/index.htm 120%

U.S. Department of Health & Human Services National Institutes of Health

NIH National Institutes of Health Office of Extramural Research

Grants & Funding NIH's Central Resource for Grants and Funding Information

Entire Site Search this Site

eRA | NIH Staff | Glossary & Acronyms | FAQs | Help

HOME ABOUT GRANTS FUNDING POLICY & COMPLIANCE NEWS & EVENTS ABOUT OER

Home » Funding

Funding

[Grants \(NIH Guide to Grants and Contracts\)](#)

[Contracts](#)


[Research Training and Career Development](#)

[Loan Repayment Programs](#)

[Extramural Diversity Website](#)

Funding

NIH offers funding for many types of grants, contracts, and even programs that help repay loans for researchers. Learn about these programs, as well as about NIH's budget process, grant funding strategies, and policies, and more.



Grants (NIH Guide to Grants and Contracts)

The NIH Guide for Grants and Contracts is our official publication for NIH grant policies, guidelines and funding opportunities. We publish daily, and issue a table of contents weekly. [Learn more](#) about the NIH Guide and [subscribe today!](#)

[View all Parent Announcements](#)
(for unsolicited applications)

Search for funding opportunities and notices

Related Resources

- [Avoid Grant Scams](#)
- [NIH Funding Strategies](#)
- [Types of Grant Programs](#)
- [Find and Understand Funding Opportunity Announcements](#)

Funding Opportunity Title	
Activity Code	R01 Research Project Grant
Announcement Type	Reissue of PA-16-160 for due dates on or after January 25, 2018
Related Notices	<ul style="list-style-type: none"> January 28, 2019 - Notice of Special Interest on Methodological Advances to Improve Alcohol Measurement and its Consequences for People Living with HIV who have Comorbidities, Coinfections, and Complications. See Notice NOT-AA-19-006. November 26, 2018 - NIH & AHRQ Announce Upcoming Updates to Application Instructions and Review Criteria for Research Grant Applications. See Notice NOT-OD-18-228. November 5, 2018 - This PA has been reissued as PA-19-056. July 11, 2018 - Notice of Information for HIV research priorities at the National Heart, Lung, and Blood Institute. See Notice NOT-HL-18-642. May 18, 2018 - Notice of Information: NIMH Council Workgroup on Genomics' Recommendations for Basic and Clinical Research. See Notice NOT-MH-18-035.
Funding Opportunity Announcement (FOA) Number	PA-18-484
Companion Funding Opportunity	PA-18-345 - Parent R01 Clinical Trial Required Check Components of Participating Organizations and Related Notices for restrictions.
Number of Applications	See Section III. 3. Additional Information on Eligibility.
Catalog of Federal Domestic Assistance (CFDA) Number(s)	93.865; 93.286; 93.846; 93.856; 93.855; 93.853; 93.307; 93.242; 93.351; 93.279; 93.840; 93.233; 93.839; 93.838; 93.837; 93.859; 93.213; 93.173; 93.867; 93.399; 93.396; 93.395; 93.394; 93.393; 93.866; 93.273; 93.847; 93.361; 93.121; 93.113; 93.172; 93.879
Funding Opportunity Purpose	The NIH Research Project Grant supports a discrete, specified, circumscribed project in areas representing the specific interests and competencies of the investigator(s). The proposed project must be related to the programmatic interests of one or more of the participating NIH Institutes and Centers (ICs) based on their scientific missions. This Funding Opportunity Announcement does not accept applications proposing clinical trial(s).
Key Dates	
Posted Date	December 6, 2017
Open Date (Earliest Submission Date)	January 5, 2018
Letter of Intent Due Date(s)	Not Applicable
Application Due Date(s)	Standard dates apply, by 5:00 PM local time of applicant organization. All types of non-AIDS applications allowed for this funding opportunity announcement are due on these dates. The first standard application due date for this FOA is February 5, 2018. Applicants are encouraged to apply early to allow adequate time to make any corrections to errors found in the application during the submission process by the due date.
AIDS Application Due Date(s)	Standard AIDS dates apply, by 5:00 PM local time of applicant organization. All types of AIDS and AIDS-related applications allowed for this funding opportunity announcement are due on these dates. The first AIDS application due date for this FOA is May 7, 2018. Applicants are encouraged to apply early to allow adequate time to make any corrections to errors found in the application during the submission process by the due date.
Scientific Merit Review	Standard dates apply
Advisory Council Review	Standard dates apply
Earliest Start Date	Standard dates apply
Expiration Date	New Date January 8, 2019 per issuance of PA-19-056. (Original Expiration Date: January 8, 2021)
Due Dates for E.O. 12372	Not Applicable

NIH Research Project Grant (Parent R01 Clinical Trial Not Allowed)

Funding Opportunity Title	
Activity Code	
Announcement Type	
Related Notices	
Funding Opportunity Announcement (FOA) Number	PA-19-056
Companion Funding Opportunity	PA-19-091, R01 Research Project Grant PA-19-055 - Parent R01 Clinical Trial Required Check Components of Participating Organizations and Related Notices for restrictions.
Number of Applications	See Section III. 3. Additional Information on Eligibility.
Catalog of Federal Domestic Assistance (CFDA) Number(s)	93.213, 93.393, 93.394, 93.95, 93.396, 93.399, 93.172, 93.173, 93.233, 93.840, 93.837, 93.838, 93.839, 93.866, 93.273, 93.855, 93.846, 93.286, 93.865, 93.279, 93.121, 93.847, 93.113, 93.859, 93.242, 93.307, 93.853, 93.361, 93.879, 93.351, 93.867
Funding Opportunity Purpose	The NIH Research Project Grant supports a discrete, specified, circumscribed project in areas representing the specific interests and competencies of the investigator(s). The proposed project must be related to the programmatic interests of one or more of the participating NIH Institutes and Centers (ICs) based on their scientific missions. This Funding Opportunity Announcement does not accept applications proposing clinical trial(s).
Key Dates	
Posted Date	November 5, 2018
Open Date (Earliest Submission Date)	January 5, 2019
Letter of Intent Due Date(s)	Not Applicable
Application Due Date(s)	Standard dates apply, by 5:00 PM local time of applicant organization. All types of non-AIDS applications allowed for this funding opportunity announcement are due on these dates. The first standard application due date for this FOA is February 5, 2019. Applicants are encouraged to apply early to allow adequate time to make any corrections to errors found in the application during the submission process by the due date.
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Scientific Merit Review	Standard dates apply
Advisory Council Review	Standard dates apply
Earliest Start Date	Standard dates apply
Expiration Date	January 8, 2022

NIH Research Project Grant (Parent R01 Clinical Trial Not Allowed)

R01 Research Project Grant

Reissue of PA-18-484

- August 29, 2019 - Notice of Special Interest in Ultrasound and Optics-based Devices for Trauma Care. See Notice NOT-EB-19-016.
- August 29, 2019 - Notice of Special Interest in Radiation Monitoring for Trauma Care. See Notice NOT-EB-19-017.
- August 29, 2019 - Notice of Special Interest in X-ray-based Devices for Trauma Care. See Notice NOT-EB-19-018.
- August 29, 2019 - Notice of Special Interest (NOSI): Epidemiology of Drug Abuse. See Notice NOT-DA-19-006.
- August 29, 2019 - Notice of Special Interest in Physiological Monitoring and Point of Care Technologies for Trauma Care. See Notice NOT-EB-19-018.
- August 23, 2019 - Clarifying Competing Application Instructions and Notice of Publication of Frequently Asked Questions (FAQs) Regarding Proposed Human Fetal Tissue Research. See Notice NOT-OD-19-137.
- July 26, 2019 - Changes to NIH Requirements Regarding Proposed Human Fetal Tissue Research. See Notice NOT-OD-19-126.
- August 15, 2019 - Notice of Special Interest (NOSI): IDEAHealth: Innovative Data Evaluation and Analysis to Health (Parent R01 Clinical Trial Not Allowed). See Notice NOT-HL-19-712.
- August 14, 2019 - Notice of Special Interest (NOSI): Public Health Research on Cannabis. See Notice NOT-DA-19-065.
- August 6, 2019 - Notice of Special Interest: NCI's Research Interests to Improve Interprofessional Teamwork and Coordination During Cancer Diagnosis and Treatment. See Notice NOT-CA-19-058.
- July 31, 2019 - Notice of NIAAA Data-Sharing Policy for Human Subjects Grants Research Funded by the National Institute on Alcohol Abuse and Alcoholism (NIAAA) [2nd Revision]. See Notice NOT-AA-19-020.
- July 26, 2019 - Consolidated Notice on NIH Clinical Trials Policies. See Notice NOT-HH-19-031.
- July 12, 2019 - Notice of Special Interest (NOSI): The Application of Big Data Analytics to Drug Abuse Research. See Notice NOT-DA-19-041.
- July 11, 2019 - Notice of Special Interest (NOSI): Health Services and Economic Research on the Treatment of Drug, Alcohol, and Tobacco Use Disorders (R01, R21, R03). See Notice NOT-DA-19-037.
- July 11, 2019 - Notice of Special Interest (NOSI): Gene-Environment Interplay in Substance Use Disorders (R01, R21). See Notice NOT-DA-19-036.
- June 3, 2019 - Notice of Special Interest (NOSI): Leveraging existing HIV observational cohorts to study HIV-associated HLB5 disorders. See Notice NOT-HL-19-705.
- May 21, 2019 - Notice of Special Interest (NOSI): Understanding Factors in Infancy and Early Childhood (Birth to 24 months) That Influence Obesity Development. See Notice NOT-HL-19-695.
- May 13, 2019 - NIDMG-Managed HIV/AIDS Research Portfolio Transitioning to NIAID. See Notice NOT-DM-19-039.
- April 23, 2019 - Notice of Special Interest to Highlight High Priority Research Opportunities on Suicide Prevention Crisis Services. See Notice NOT-MH-19-025.
- March 14, 2019 - Notice of Special Interest: Development of Cryogenic or Other Long-term Preservation and Revival Methods for Drosophila and Zebrafish Genetic Stocks. See Notice NOT-OD-19-089.
- March 14, 2019 - Notice of Special Interest: SEARCH: Stimulating Exploratory Research on HIV/AIDS Contribution to Heart, Lung, Blood and Sleep Comorbidities (R01 - Clinical Trial Not Allowed). See Notice NOT-HL-19-677.
- January 7, 2019 - Notice of Special Interest in Understanding Factors in Infancy and Early Childhood (Birth to 24 months) That Influence Obesity Development. See Notice NOT-DK-19-007.
- November 26, 2018 - NIH & AHRQ Announce Upcoming Updates to Application Instructions and Review Criteria for Research Grant Applications. See Notice NOT-OD-18-228.
- November 16, 2018 - Notice of NEI Participation in PAR-19-056. See Notice NOT-EY-19-005.
- November 15, 2018 - Notice of NIDCD participation effective immediately in PA-19-056. See Notice NOT-DC-19-002.

PA-19-056

PA-19-091, R01 Research Project Grant

PA-19-055 - Parent R01 Clinical Trial Required

Check Components of Participating Organizations and Related Notices for restrictions.

See Section III. 3. Additional Information on Eligibility.

93.213, 93.393, 93.394, 93.95, 93.396, 93.399, 93.172, 93.173, 93.233, 93.840, 93.837, 93.838, 93.839, 93.866, 93.273, 93.855, 93.846, 93.286, 93.865, 93.279, 93.121, 93.847, 93.113, 93.859, 93.242, 93.307, 93.853, 93.361, 93.879, 93.351, 93.867

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This Funding Opportunity Announcement does not accept applications proposing clinical trial(s).

November 5, 2018

January 5, 2019

Not Applicable

Standard dates apply, by 5:00 PM local time of applicant organization. All types of non-AIDS applications allowed for this funding opportunity announcement are due on these dates.

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Standard dates apply

Standard dates apply

Standard dates apply

Standard dates apply

January 8, 2022

Questions



Thank You!

Office of Research Support Services

<https://www.med.upenn.edu/orss/personnel.html>

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